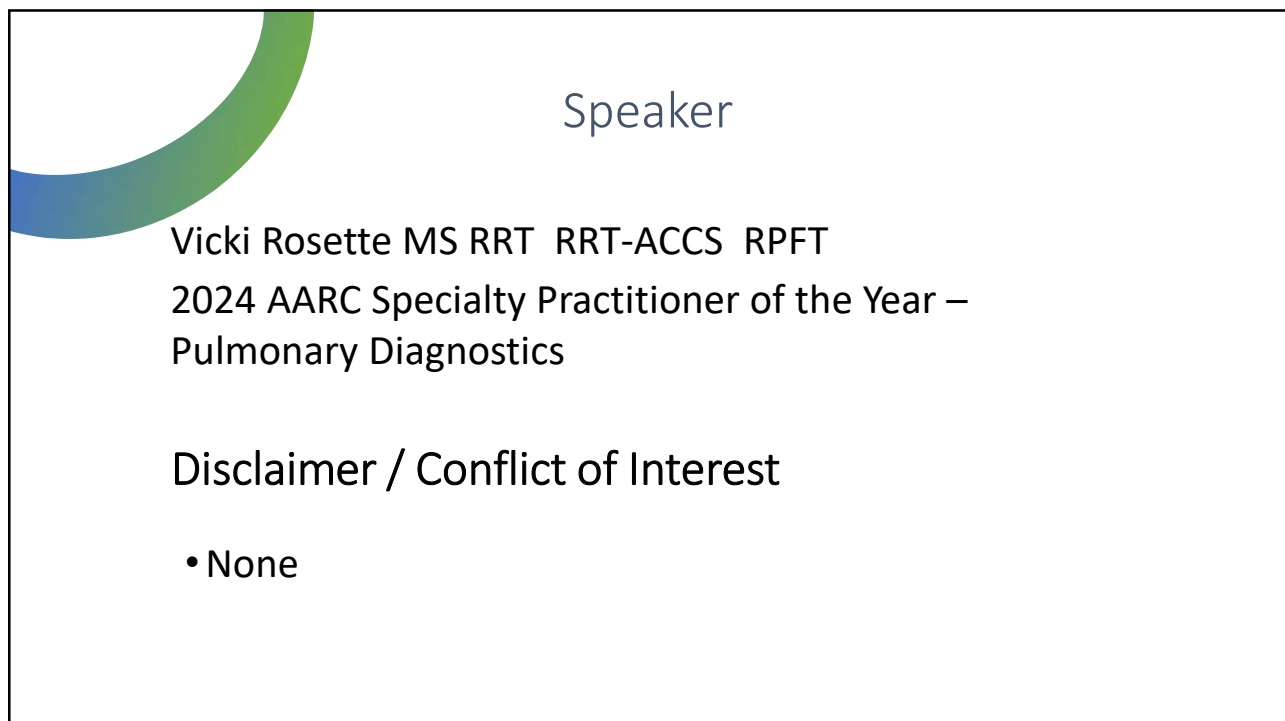


Coaching Spirometry

Using 4 maximums to coach spirometry to meet
ATS/ERS criteria
for acceptability, usability, and repeatability

AARC Approved for 1 CRCE



Speaker

Vicki Rosette MS RRT RRT-ACCS RPFT
2024 AARC Specialty Practitioner of the Year –
Pulmonary Diagnostics

Disclaimer / Conflict of Interest

- None

Learning Objectives

At the conclusion of this presentation the learner shall be able to...

1. describe the basics of **spirometry context**
2. explain **4 Maximums** within the forced vital capacity maneuver
3. explain **troubleshooting and coaching** to correct spirometry errors and meet ATS/ERS criteria

This presentation does NOT include:

- Theory / Math
- Ordering PFT's
 - Indications,
 - Contraindications,
- Laboratory Management
 - Equipment
 - Calibration
 - Quality systems
 - Reference / Normals
- Interpretation
 - Pattern / Severity
 - Obstructive / Restrictive
 - Mild / Moderate / Severe
 - Reporting
 - % predicted
 - Z-values
- Clinical significance
 - Diagnostic
 - Therapeutic

Learning Objectives

At the conclusion of this presentation
the learner shall be able to...

1. describe the basics of **spirometry context**

definitions,
4 volumes, 4 capacities, 4 levels,
& operator factors

Definitions

- **Spirometry** is a physiological test that measures the maximal volume of air that an individual can inspire/inhale and expire/exhale with maximal effort. This can be accomplished fast, or forced, as an FVC, or slow, as an SVC.

The most relevant of many measurements:

- **FEV₁**: the Forced Expiratory Volume is the volume exhaled in the first second of a FVC maneuver

How **Fast** can you breathe?

- **FVC**: the Forced Vital Capacity is the maximum volume delivered during an expiration made as forcefully and completely as possible starting from full inspiration

How **Much** can you breathe?

History of Spirometry Standardization

European Respiratory Society (ERS)
<https://www.ersnet.org/>

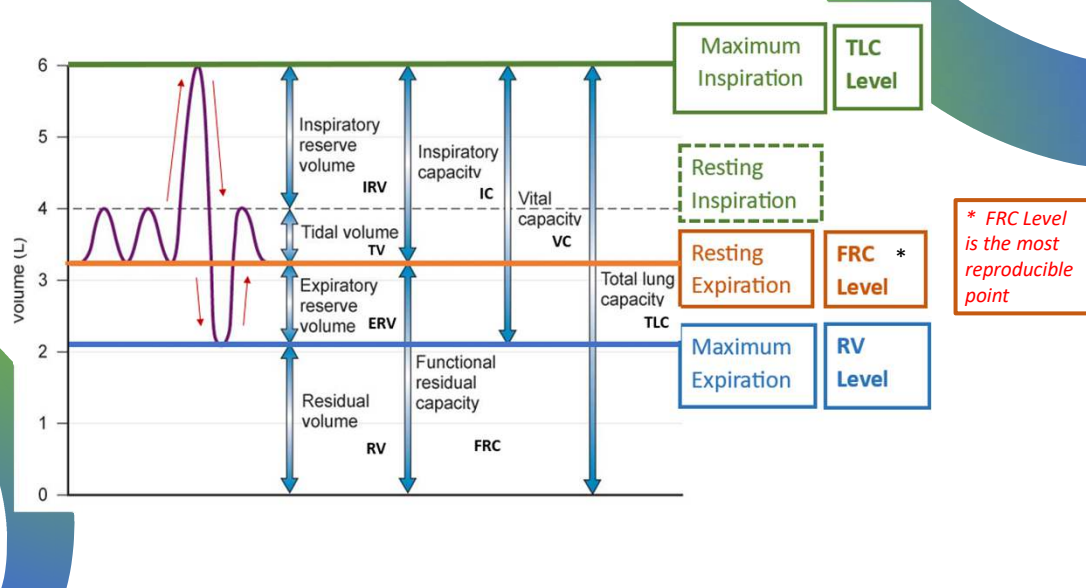
American Thoracic Society (ATS)
<https://site.thoracic.org/>

- [2019](#) ATS & ERS Update and Technical Statement
- [2005](#) **Standardisation** of spirometry
ATS & ERS making the user responsible for ensuring that the equipment measurements remain accurate.
- [1994](#) **The State-of-the-Art** for spirometry has continued to advance as a result of scientific studies that have provided additional data related to performance of spirometry
- [1987](#) **Gold Standard** - against which equipment performance and test performance can be optimized.
- [1979](#) **Snowbird** – 1st standards proposed for clinical & epidemiologic spirometry studies

More Definitions

- “Operator” is the person conducting the test
- “Patient” is the person being tested
- “Maneuver” is the term used for the inspiratory and expiratory VC excursions.
- “Must” is used to indicate a requirement
- “Should” is used to indicate best practice

Spirogram: 4 Volumes, 4 Capacities, 4 Levels



Operator

Three key elements:

- Instrumentation
- Patient
- Operator

Requires:

training
experience

It is the responsibility of the operator to observe and engage with the patient to achieve optimal results

Learning Objectives

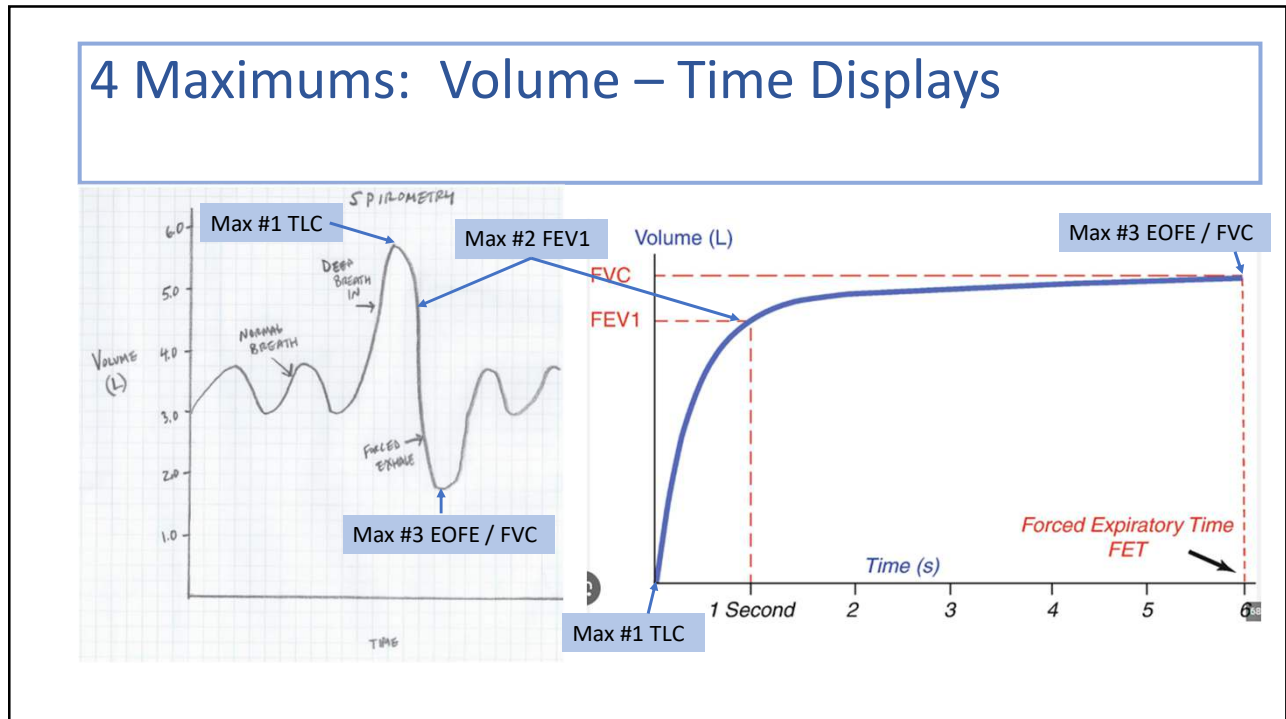
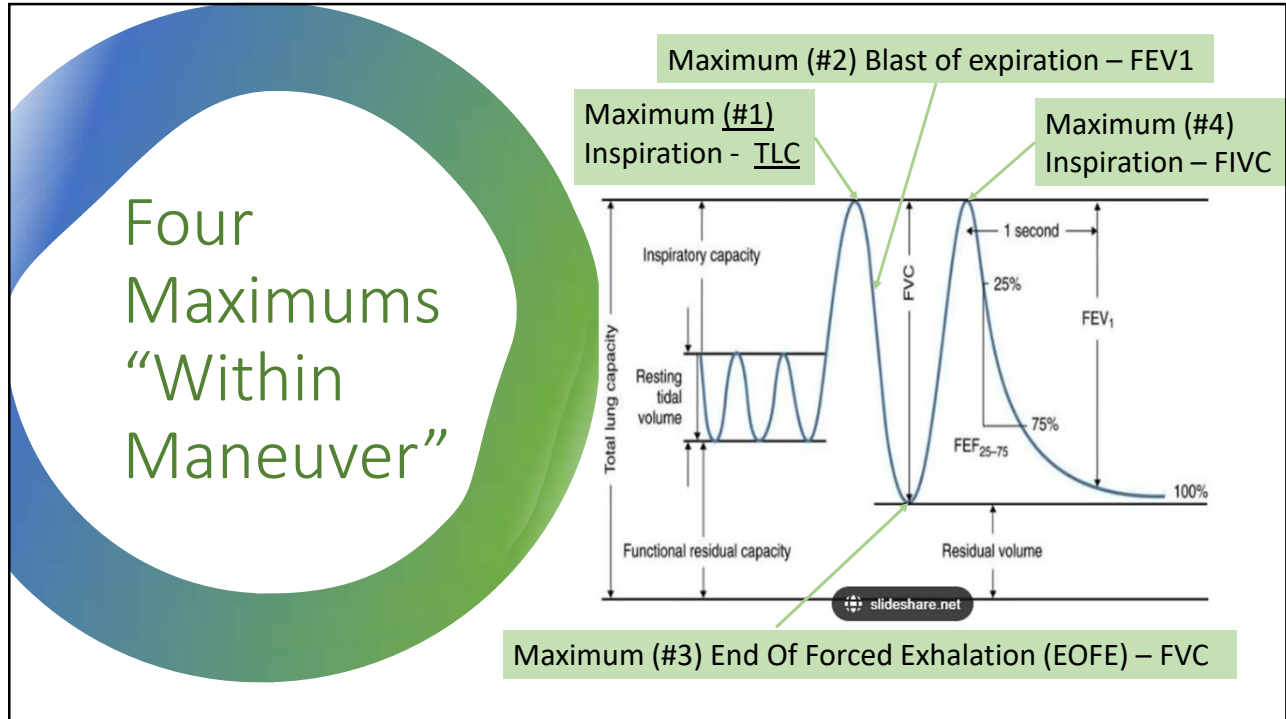
At the conclusion of this presentation
the learner shall be able to...

2. explain **4 Maximums** within the forced vital capacity maneuver
 - as part of the spirometry **procedure**
 - as within-maneuver criteria for **acceptability** and **usability**
 - as between-maneuver criteria for **repeatability**
 - as session quality **grading**

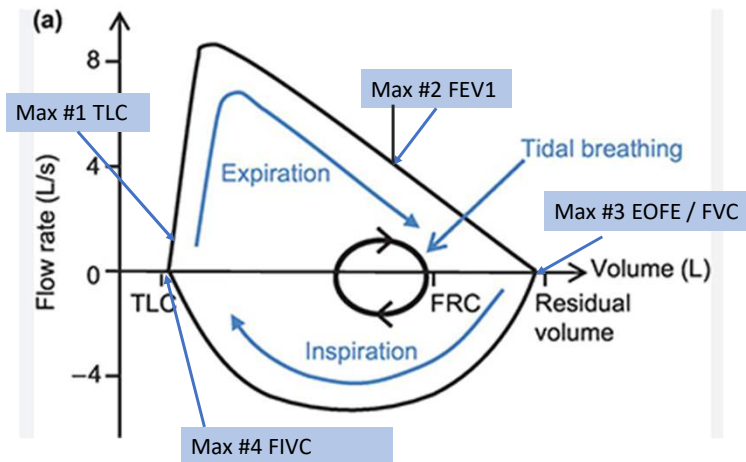
Learning Objectives

At the conclusion of this presentation
the learner shall be able to...

2. explain **4 Maximums** within the forced vital capacity maneuver



4 Maximums: Flow Volume Loop



Learning Objectives

At the conclusion of this presentation
the learner shall be able to...

2. explain **4 Maximums** within the forced vital capacity maneuver
 - as part of the spirometry procedure

4 Maximums as part of the:

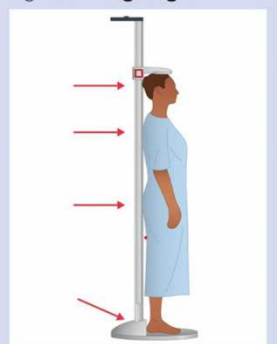
Spirometry Procedure

- Prepare the patient
- Instruct & Demonstrate
- Coach maneuver
 - Inspiratory & expiratory
 - Expiration-only devices



Procedure - Prepare the Patient: Height is **CRITICAL**

Fig 1. **Standing height**

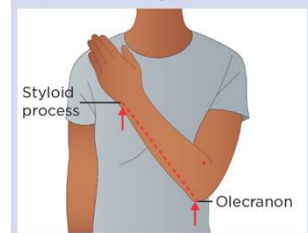


The back of the head, shoulder blades, buttocks and heels should touch the stadiometer

- The height must be measured against a wall or stadiometer - **without shoes!**



Fig 2. **Ulna length**



Measure from the olecranon process of the elbow to the midpoint of the styloid process of the wrist

Procedure - Prepare the Patient: Patient Posture while testing is Critical

Sit tall; stay tall



Standing



Crook's



Supine

Procedure - Instruct & Demonstrate: Four "Within Maneuver" Maximums

- **"BIG BREATH in fast, all the way to a completely full lung"**

Maximum (#1) Inspiration - TLC

- **"KEEP BLOWING, keep blowing out all your air"**

Maximum (#3) Exhalation - EOFE / FVC

- **"BLAST OUT hard and fast; use all the force possible"**

Maximum (#2) Blast out - FEV1

- **"BIG BREATH in fast, all the way to a completely full lung"**

Maximum (#4) Inspiration - FIVC

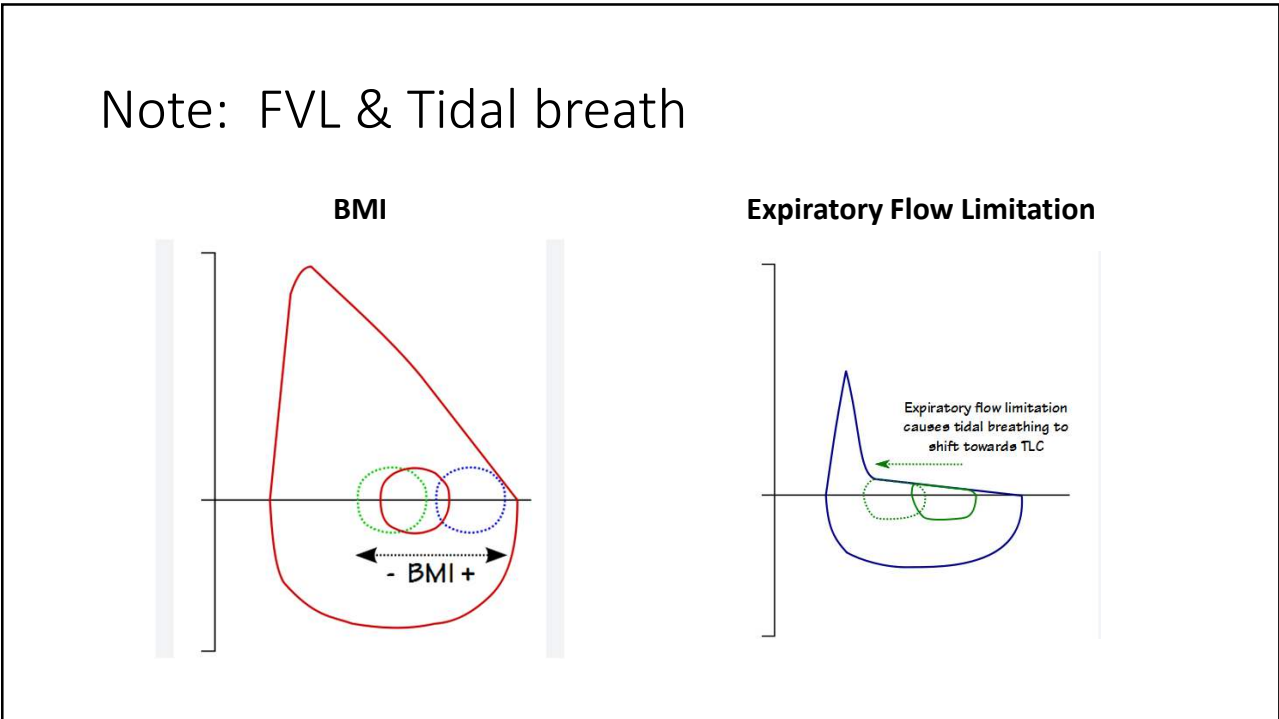
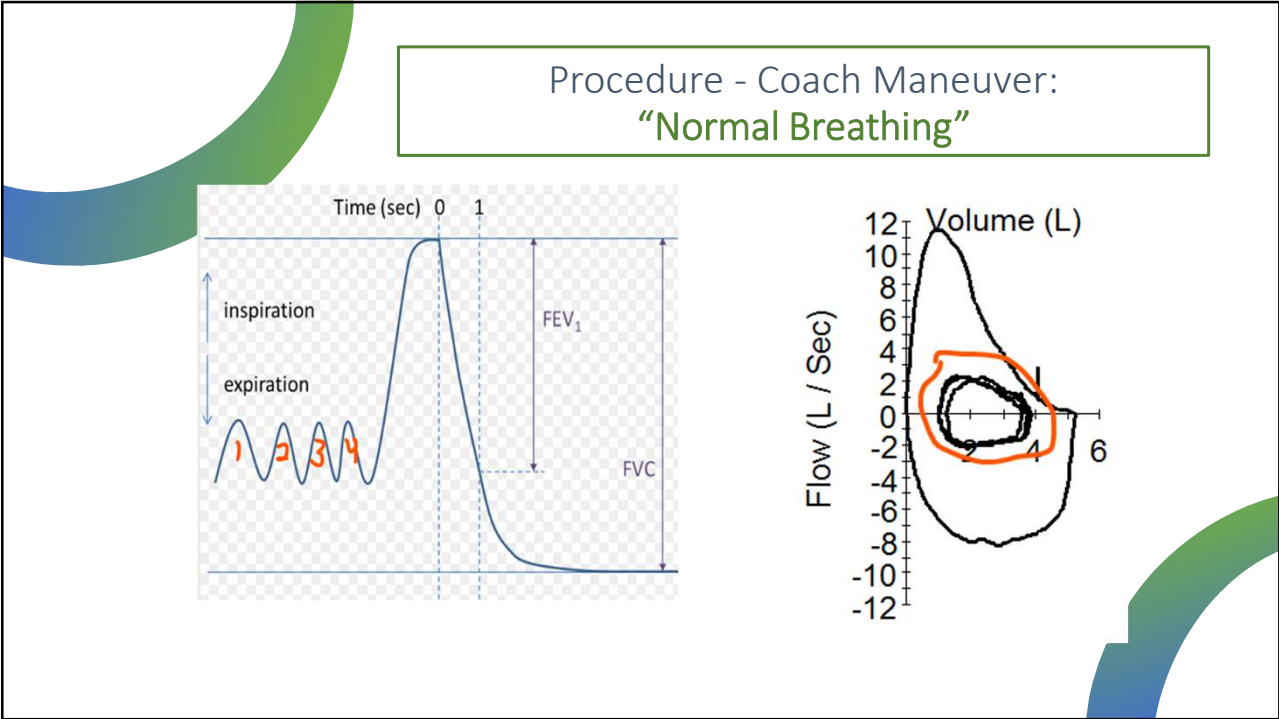
4 Maximums as
part of the
procedure:

Coach Maneuver: Overview

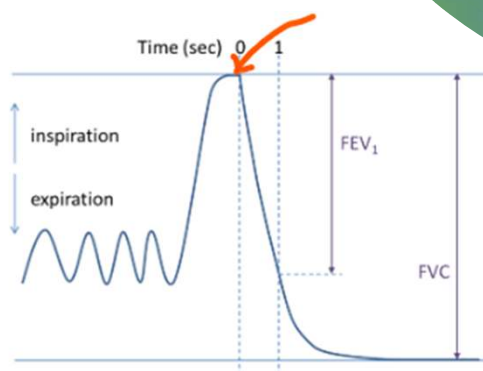
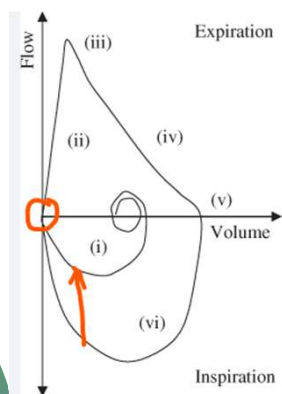
- “Sit tall; stay tall”
- “Mouthpiece & nose clip”
- “Normal breathing”
- “Deep breath IN...more...more”
- “BLAST hard”
- “Keep breathing out...out...out”
- “Deep breath back in”

Procedure - Coach Maneuver:
Posture, “Mouthpiece & Nose clip”





Procedure - Coach Maneuver: "Deep Breath IN" Max (#1) - TLC

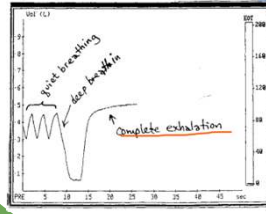


Procedure - Coach Maneuver: "Blast Hard" Max (#2) – FEV1

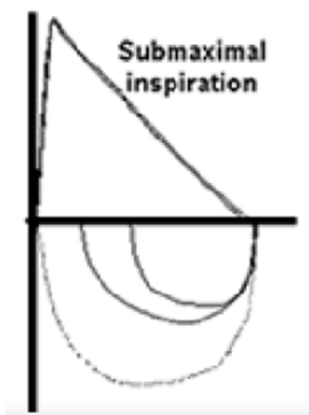
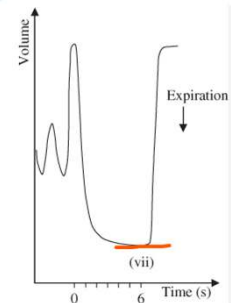


- "Blast out hard" – FEV1
 - Not just "Blow"
 - Blast with maximal effort / Hard / Fast
 - Stay sitting up straight; do not lean forward or put head/chin down
- Looking for **maximal effort** evidenced by a sharp peak in Flow-Volume loop
 - very early (*shifted left / close to the Y-axis*)
- Don't want a **sub-maximal** effort evidenced by a rounded peak or shifted right
 - should be discarded
- but **never discard the first blow** – it may be the best that you get!

Procedure - Coach Maneuver:
“Keep breathing out...”
 Max (#3) EOFE / FVC



- “keep blowing, keep blowing, keep blowing...”
- EOFE
 - Plateau ≥ 1 second
 - Plateau defined as < 0.025 L/sec change
 - Forced Expiratory Time (FET) ≥ 15 seconds
 - Watch for: light-headedness, syncope
 - No plateau & < 15 seconds
 - FVC repeatability criteria
 - No glottic closure
- FVC
- OLD:
 - EOT
 - ≥ 6 seconds



Procedure - Coach Maneuver:
“Deep breath back in”
 Max (#4) - FIVC

- “Deep breath back in”
- Ideally $F_{IVC} = F_{EVC}$
 - $FIVC > FVC$:
 - Pt may not have been at TLC before the blast – coach to take “more... more” before the blast
 - Pt may have leaked – make sure there are no leaks during inspiration or expiration either at the nose or the mouth. Verify no leaks in the circuit.
 - $FIVC < FVC$:
 - Coach pt to take their last “Breathe in FAST and DEEP to full lung.”

Procedure - Coach Maneuver: Expiration only devices



Learning Objectives

At the conclusion of this presentation
the learner shall be able to...

2. explain **4 Maximums** within the forced vital capacity maneuver
 - as part of the spirometry **procedure**
 - as within-maneuver criteria for **acceptability** and **usability**

4 Maximums – “Within-Maneuver”

Acceptability

Criteria were developed as objective measures to determine:

- whether a maximal effort was achieved and
- acceptable FEV1 and/or
- acceptable FVC measurements were obtained.

Usability

Although the FEV1 and/or FVC measurements may not be technically acceptable, they may be clinically useful (i.e., “usable”)

Within-Maneuver Acceptability: - vs - Usability

Table 7. Summary of Acceptability, Usability, and Repeatability Criteria for FEV₁ and FVC

Acceptability and Usability Criterion	Required for Acceptability		Required for Usability	
	FEV ₁	FVC	FEV ₁	FVC
Must have BEV ≤5% of FVC or 0.100 L, whichever is greater	Yes	Yes	Yes	Yes
Must have no evidence of a faulty zero-flow setting	Yes	Yes	Yes	Yes
Must have no cough in the first second of expiration [‡]	Yes	No	Yes	No
Must have no glottic closure in the first second of expiration [‡]	Yes	Yes	Yes	Yes
Must have no glottic closure after 1 s of expiration	No	Yes	No	No
Must achieve one of these three EOFE indicators:	No	Yes	No	No
1. Expiratory plateau (≤0.025 L in the last 1 s of expiration)				
2. Expiratory time ≥15 s				
3. FVC is within the repeatability tolerance of or is greater than the largest prior observed FVC [‡]				
Must have no evidence of obstructed mouthpiece or spirometer	Yes	Yes	No	No
Must have no evidence of a leak	Yes	Yes	No	No
If the maximal inspiration after EOFE is greater than FVC, then FIVC – FVC must be ≤0.100 L or 5% of FVC, whichever is greater [‡]	Yes	Yes	No	No

4 Maximums: Within-Maneuver “Acceptability” Max #1) TLC

- Starting from
 - end of normal tidal exhalation or
 - resting expiration level or
 - FRC level
- Rapid inspiration, ≤ 2 L/sec, to TLC level
- Maximal volume
- Max #1) TLC, is best verified by matching it with the Max #4) FIVC after the FVC maneuver.

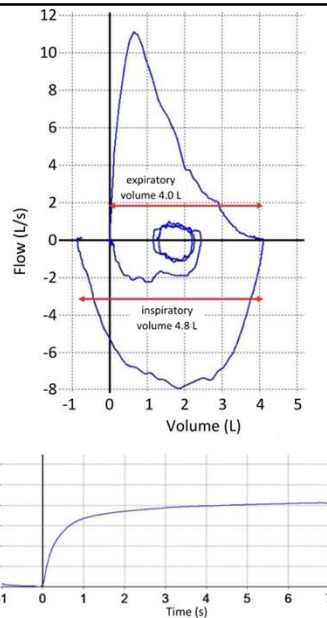
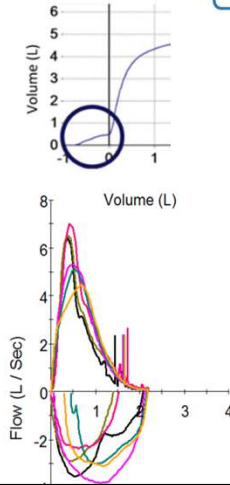


Figure E10. When the inspiratory volume at the end of the maneuver exceeds the forced expiratory volume, the subject did not reach total lung capacity before blowing out. The maneuver is not acceptable if the inspiratory volume exceeds the FVC by more than 0.100 L or 5% of FVC, whichever is greater. In this example, neither FEV₁ nor FVC is acceptable. (reproduced with permission – RESPTREC™ Spirometry Training Course, Lung Association of Saskatchewan, www.resptrec.org)

4 Maximums: Within-Maneuver "Acceptability" Max #2) FEV1

START of FEV1 Blast

- Back Extrapolation (BEV) - **Time Zero**
 - Delayed Start / Hesitation
 - < 2 Seconds
 - False Start / Leak
- BEV < 5% or 0.1 L
 - Whichever is greater



PEAK of FEV1 Blast

- Rise Time
 - < 150 ms
 - 10% - 90%
- Sharp peak, shifted left – maximal effort
- Rounded peak, shifted right – sub-maximal effort

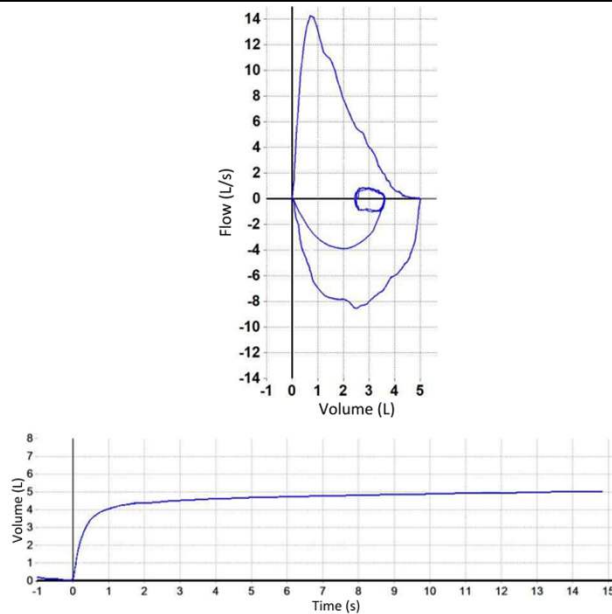
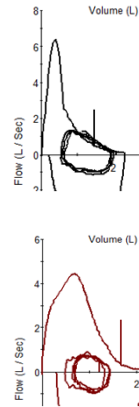
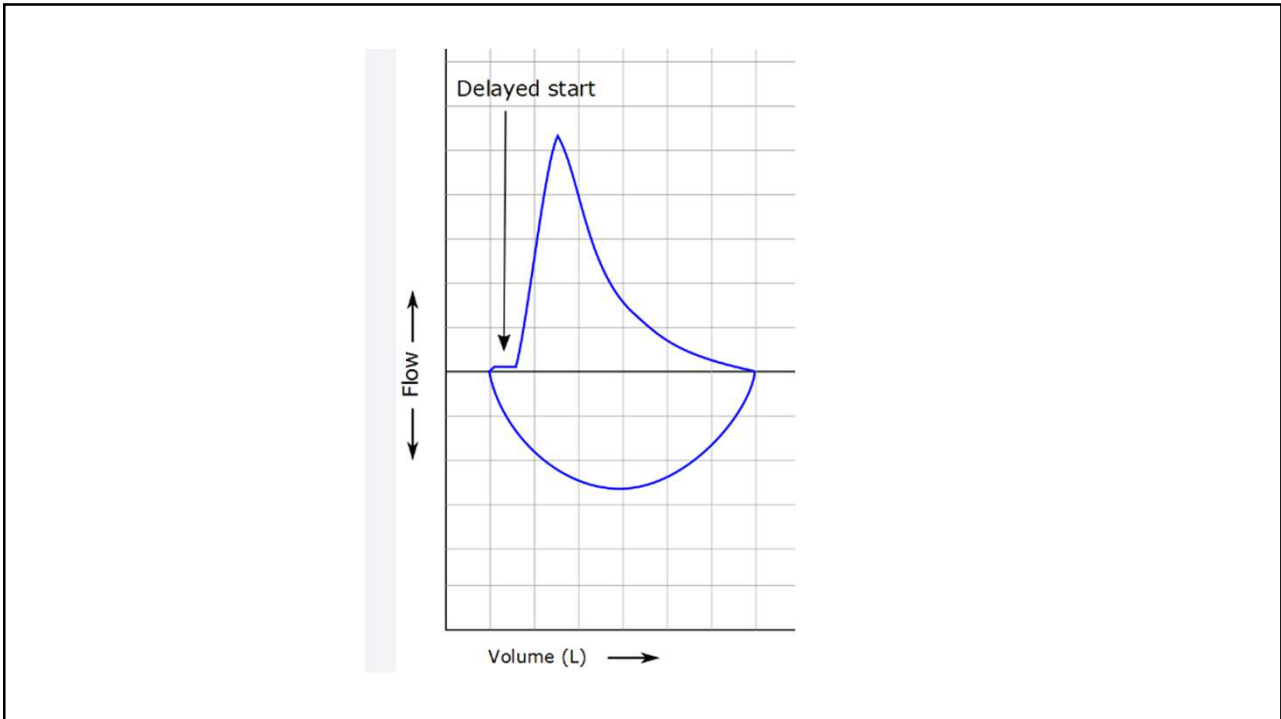
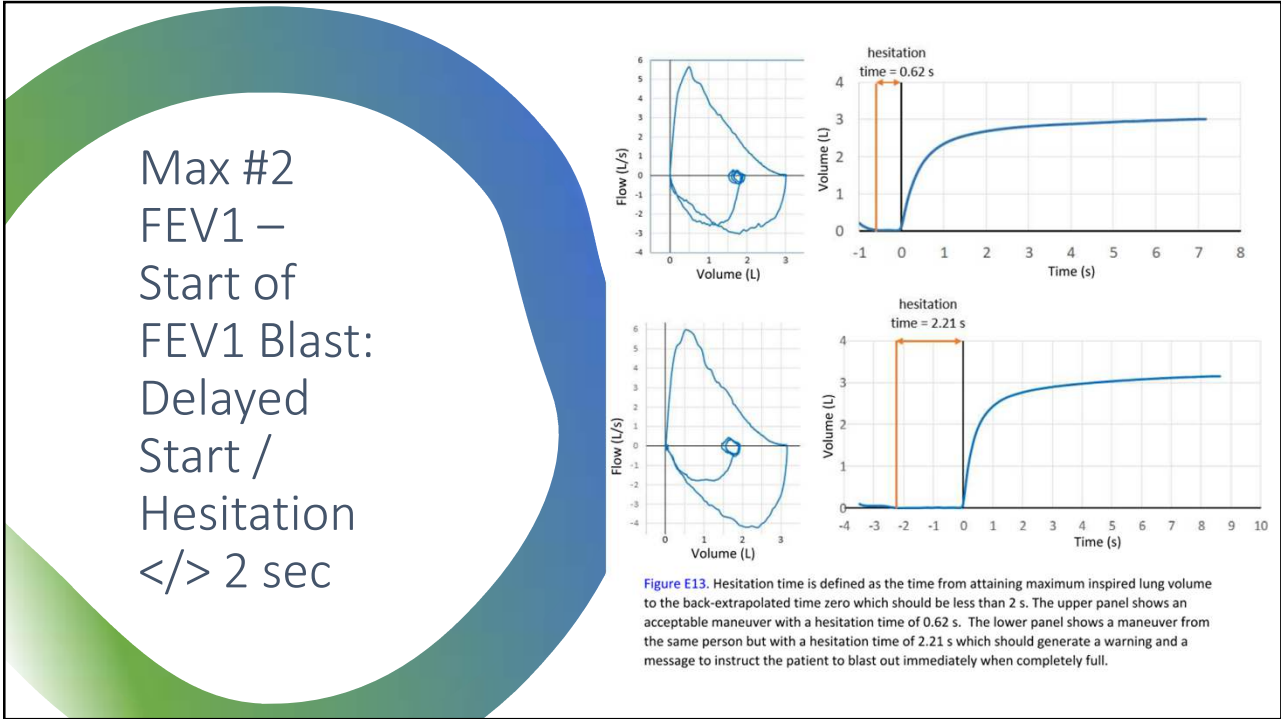


Figure E1. An acceptable maximal maneuver by a normal male (age 68.4 yr). The flow-volume graph has a fast start and sharp peak with a smooth drop to zero flow. There is a steep slope at the start of the volume-time curve with a plateau at the end of expiration. (reproduced with permission – RESPTREC™ Spirometry Training Course, Lung Association of Saskatchewan, www.resptrec.org)



Max #2
FEV1 –
Start of
FEV1 Blast:
False Start /
Leak </>
5% or 0.1 L
(whichever is greater)

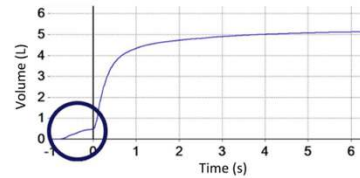
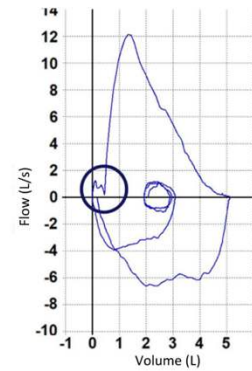


Figure E8. A false start occurs when the subject reaches total lung capacity and leaks out some air before beginning a forced expiration. In this example the back extrapolated volume is 0.475 L which exceeds 5% of FVC and therefore the FVC and FEV₁ are unacceptable. (reproduced with permission – RESPTREC™ Spirometry Training Course, Lung Association of Saskatchewan, www.resptrec.org)

Back Extrapolated Volume

**Must have BEV <5% of FVC or
< 0.1 L, whichever is greater**

FVC 2.0 L x 0.05 = 0.100 LSO..... If FVC ≤ 2.0 then BEV < 0.1 L

Within-Maneuver Acceptability: Start of FEV1 Blast - Back-Extrapolated Volume (BEV)

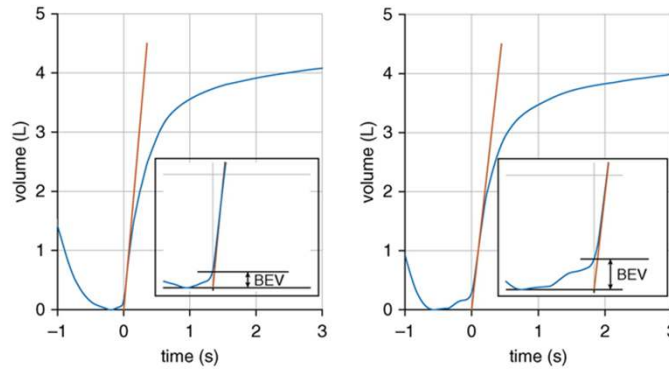
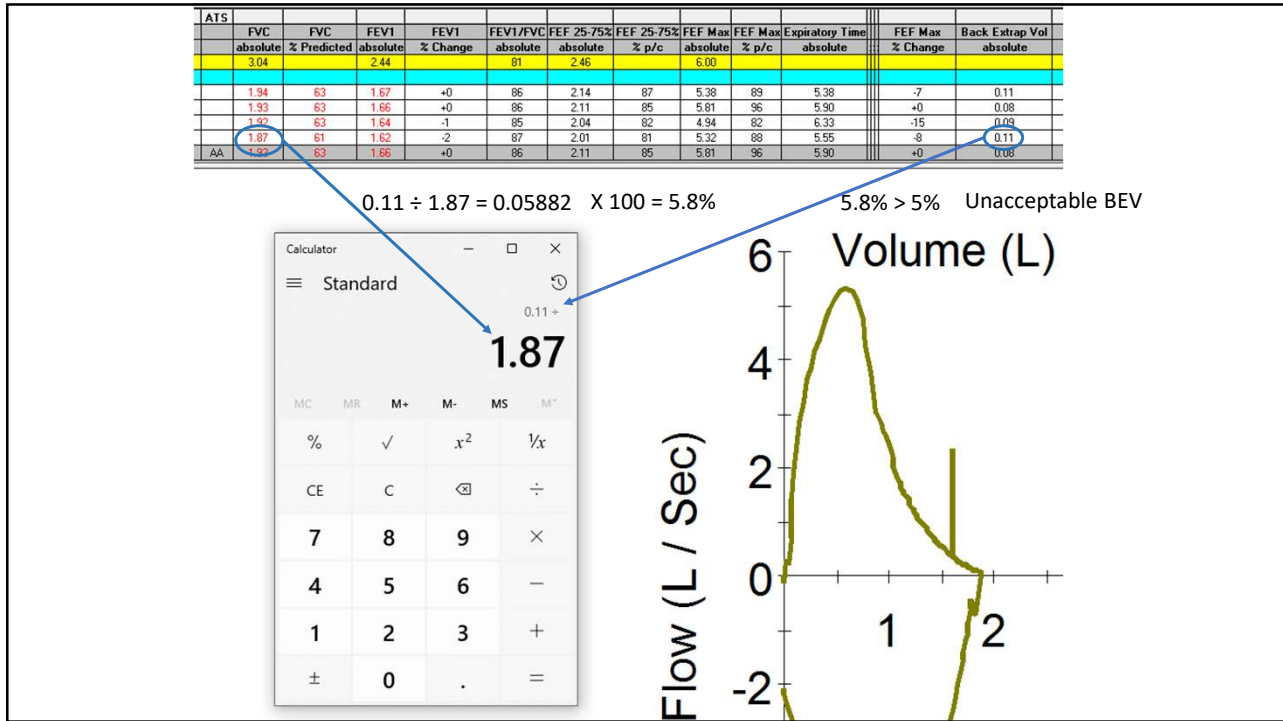
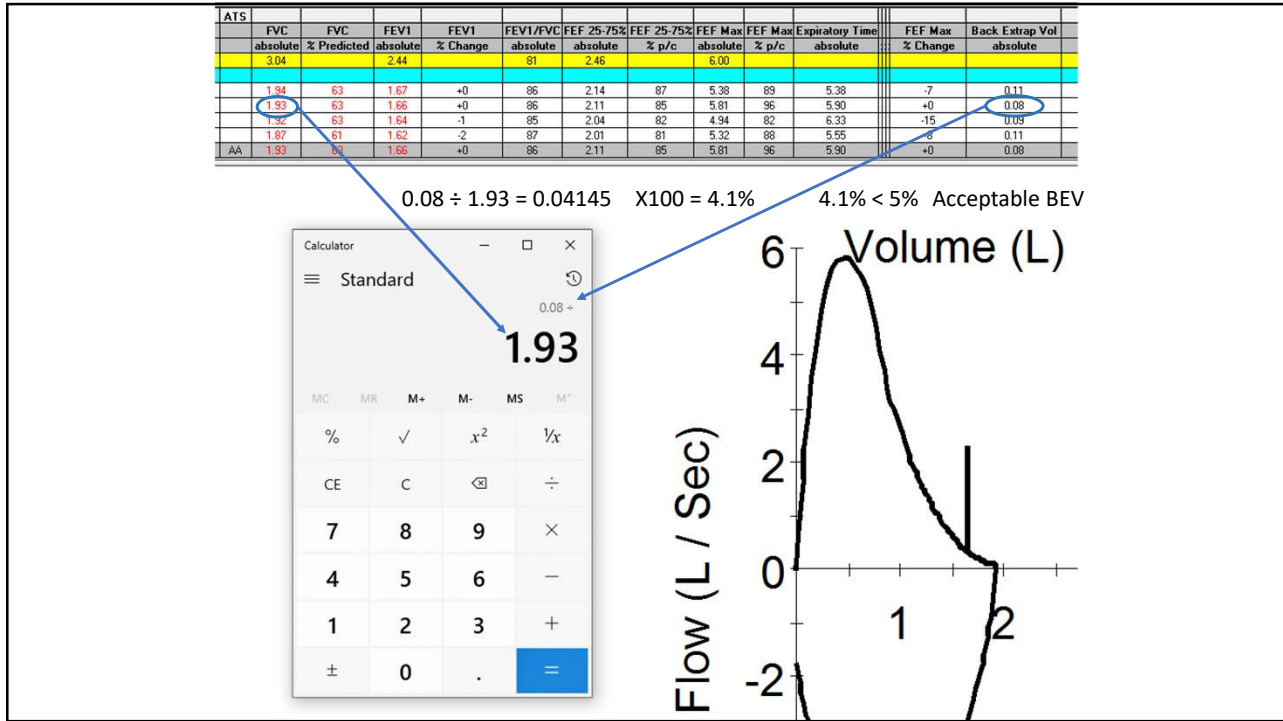
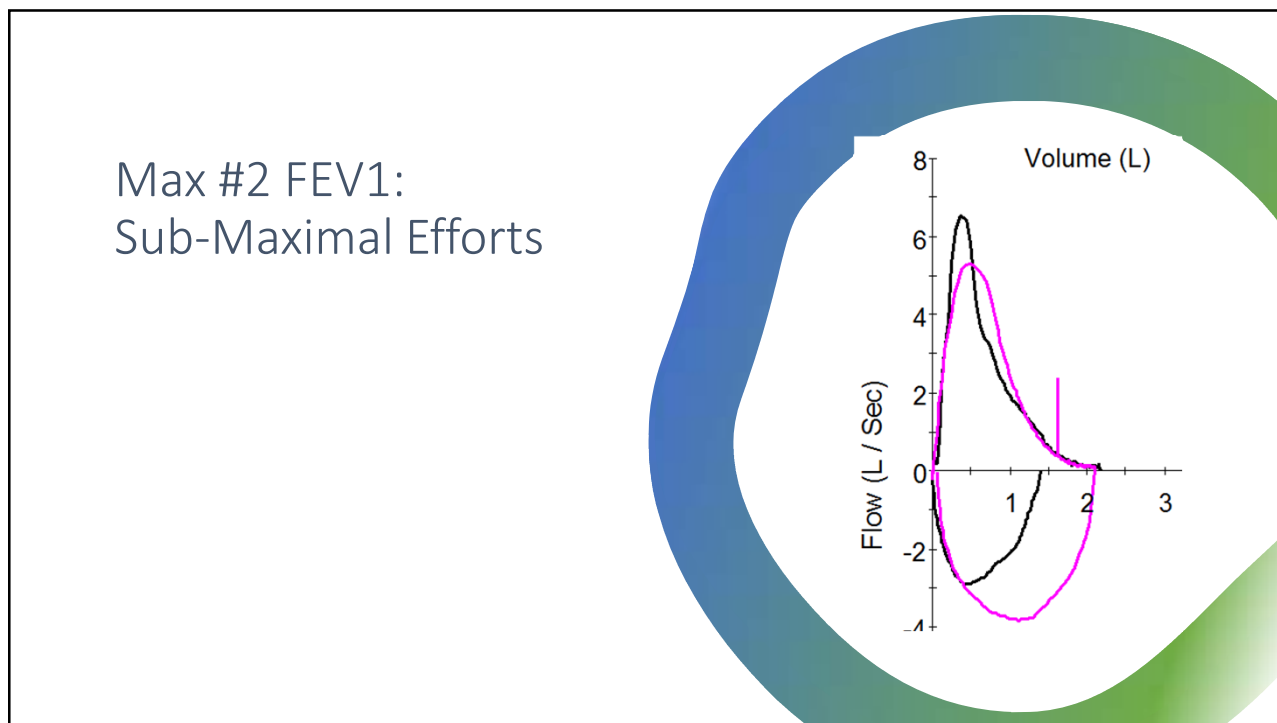
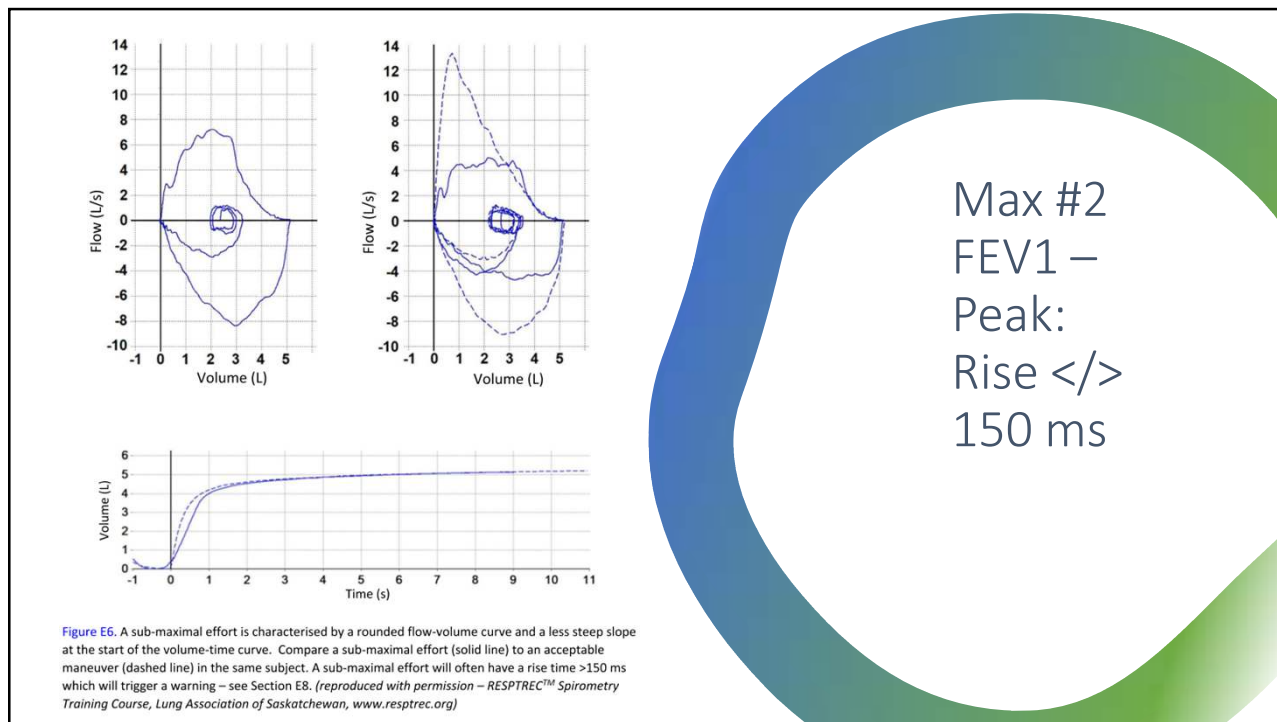


Figure 1. Back-extrapolated volume (BEV, Time 0) is found by drawing a line with a slope equal to peak flow through the point of peak flow (red line) on the volume-time curve and setting Time 0 to the point where this line intersects the time axis. The BEV is equal to the volume of gas exhaled before Time 0 (inset), which, in these two examples from the same patient, is 0.136 L for the left panel (acceptable) and 0.248 L for the right panel (unacceptable). For this patient, the BEV limit is 5% FVC = 0.225 L.

Am J Respir Crit Care Med, 2019

Time	Select	Stop	Test Mode	ATS	ATS	FVC	FVC	FEV1	FEV1	FEV1/FVC	FEF 25-75/FEV1	FEF 25-75/FEV1	FEF Max	FEF Max	Expiratory Time	FEF Max	Back Extrap Vol	FEV1
						absolute	% Predicted	absolute	% Change	absolute	% p/c	absolute	% p/c	absolute	% Change	absolute	% Predicted	absolute
14:28:19	SP					1.94	63	1.67	-0	86	2.14	87	5.38	89	5.38	-7	0.11	68
14:29:03	SP					1.92	63	1.60	-0	85	2.11	85	5.81	96	5.50	-9	0.09	68
14:30:39	SP					1.92	63	1.64	-1	85	2.04	82	4.94	82	6.33	15	0.09	67
14:30:39	SP					1.87	61	1.62	-2	87	2.01	81	5.32	88	5.55	-8	0.11	66
ATS			Pre/Respire	AA		1.92	63	1.66	-0	86	2.11	86	5.81	96	5.50	-9	0.09	68





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Effect of Effort on Measurement of Forced Expiratory Volume in One Second

Michael J. Krowka, Paul L. Enright, Joseph R. Rodarte, and Robert E. Hyatt
<https://doi.org/10.1164/ajrccm/136.4.829> PubMed: 3662235

[Abstract](#) [Cited by](#) [PDF](#)

The American Thoracic Society recommends that the largest FEV₁ be reported from a set of forced expiratory vital capacity maneuvers performed with maximal expiratory effort. However, increased expiratory effort can decrease the FEV₁. When we evaluated the peak expiratory flow rate (PEFR) in 5 normal subjects, measured from flow-volume curves, as a noninvasive index of expiratory effort, it was positively correlated with indices of effort obtained by using an esophageal balloon.

We then measured the difference (dFEV₁) between the largest FEV₁ and the FEV₁ from the maneuver with the highest PEFR during 10 test sessions in 10 normal subjects. Thus, dFEV₁ was always > 0. The mean dFEV₁ was 110 ml for all sessions but decreased to 80 ml when maneuvers with poorly reproducible PEFR or forced expiratory vital capacity values were discarded. We also reviewed 9,471 spirometry sessions from outpatients and found dFEV₁ to be greater than 50 ml in 28% of this population and greater than 151 ml in 7%.

We concluded that during standard spirometry, FEV₁ is inversely dependent on effort. Maximal effort decreases FEV₁ because of the effect of thoracic gas compression on lung volume. we recommend that values from spirometry maneuvers that demonstrate submaximal effort, indicated by a decreased PEFR, be discarded. The flow-volume curve display of superimposed efforts facilitates the recognition of submaximal efforts.

Mayo Clinic

4 Max's - Within-Maneuver Acceptability: Start of Forced Exhalation /Back-Extrapolated Volume (BEV)

Must have BEV ≤5% of FVC or 0.100 L, whichever is greater Yes, required for both Acceptability and Usability for both FEV1 & FVC

Graph must include both: *(starts at or before the earlier)* 1 sec before the "0" (PEF Slope back extrapolated)
Peak of Inspiration - TLC / Maximal Inspiration line

BEV value must be shown in % FVC and in Liters

Rise Time 10% - 90%
≤ 150 ms
May be > 150 ms with upper airway obstruction

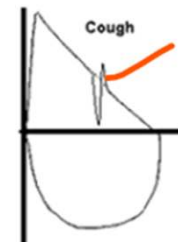
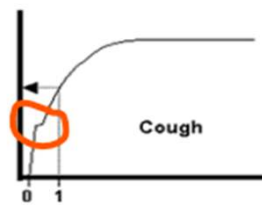
Back Extrap Vol
absolute
0.14
0.05
0.08
0.08
0.14

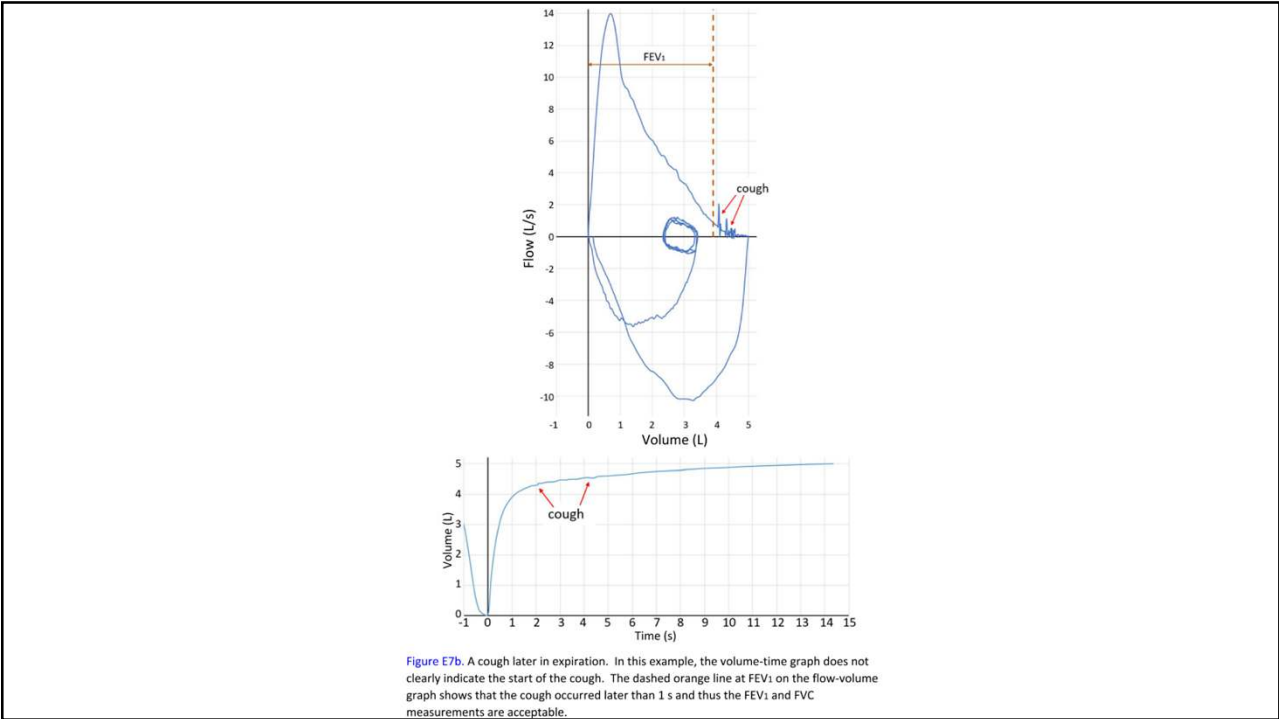
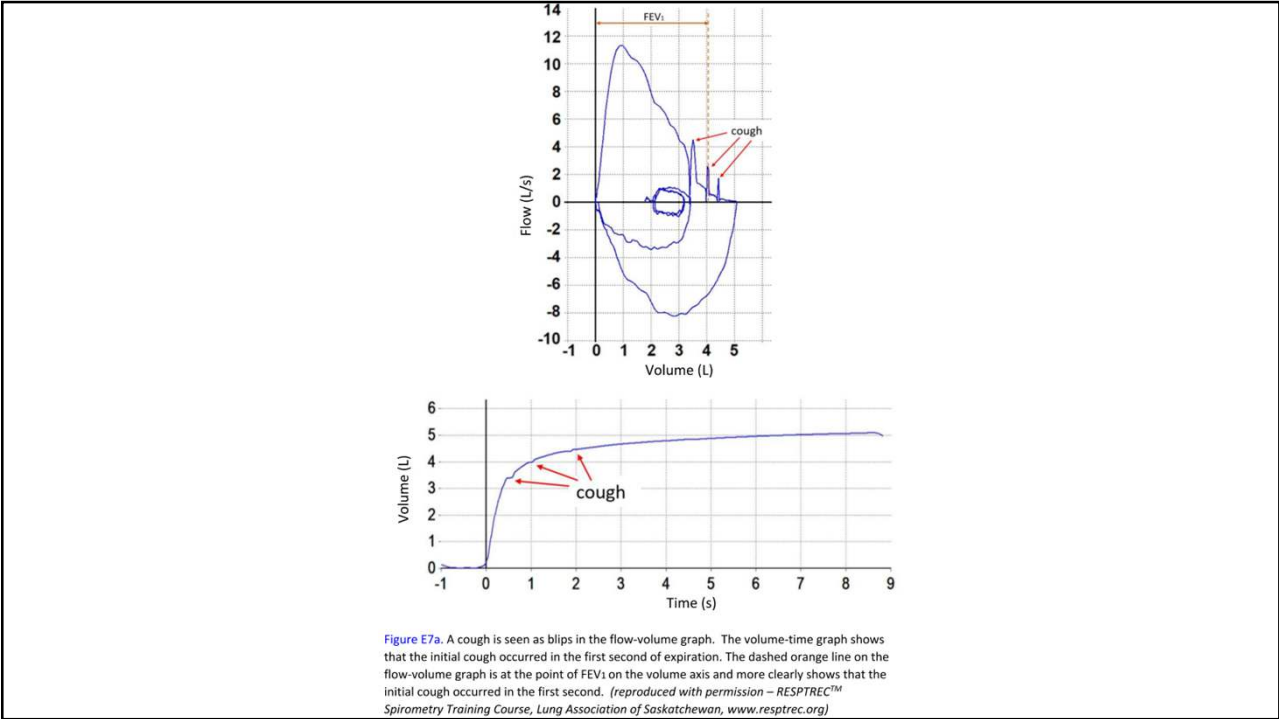
Within-Maneuver Acceptability: Zero-Flow

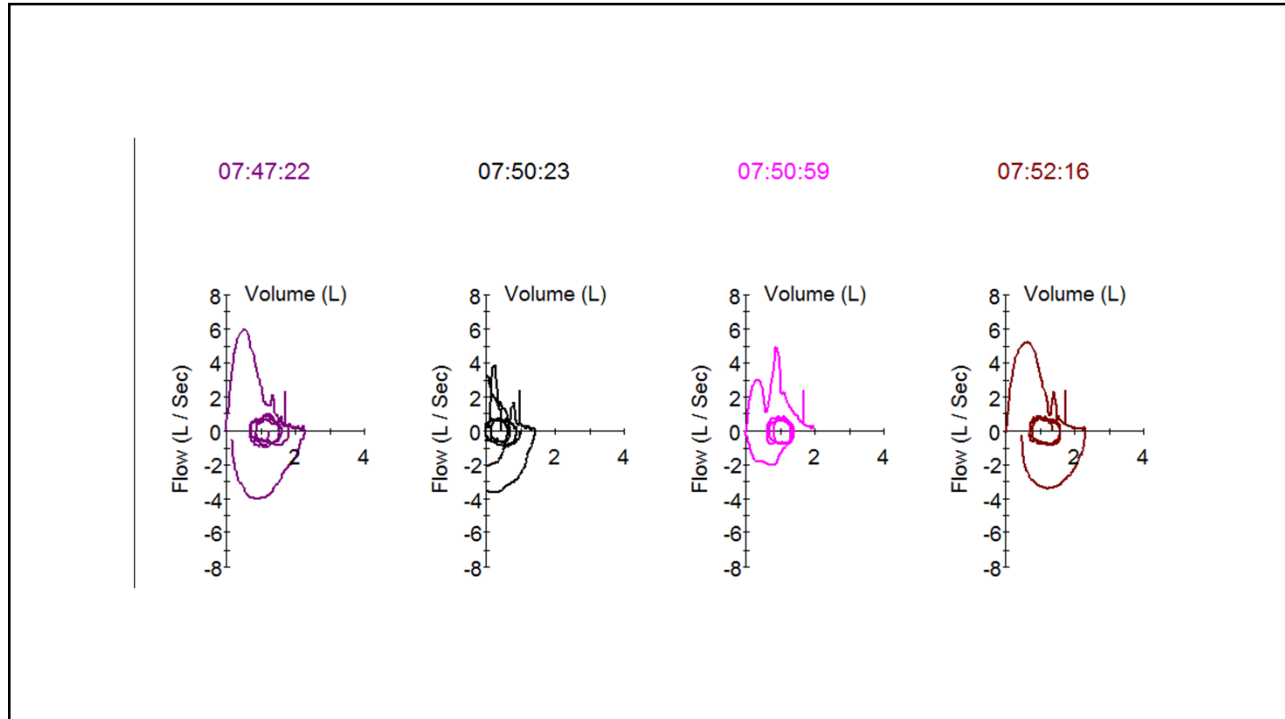
- **Must have no evidence of a faulty zero-flow setting**
 - Yes, required for both Acceptability and Usability for both FEV1 & FVC
- Maneuvers conducted with an erroneous zero-flow level will either under- or overestimate FEV1 and FVC and render both FEV1 and FVC neither acceptable nor usable.

4 Max's - Within-Maneuver Acceptability: No cough in the first second of expiration

- **Must have no cough in the first second of expiration***
 - Yes, required for both Acceptability and Usability, but only for FEV1
 - No, not required for neither Acceptability nor Usability for FVC
- A cough during the first second of the maneuver can affect the measured FEV1 value, and the FEV1 from such a maneuver is neither acceptable nor usable.
 - However, the FVC may be acceptable.

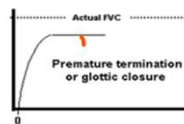






Within-Maneuver Acceptability: No Glottic closure in the first second of expiration

- **Must have no glottic closure in the first second of expiration***
 - Yes, required for both Acceptability and Usability for both FEV1 & FVC
- *For children aged 6 years or younger, must have at least 0.75 seconds of expiration without glottic closure or cough for acceptable or usable measurement of FEV0.75.

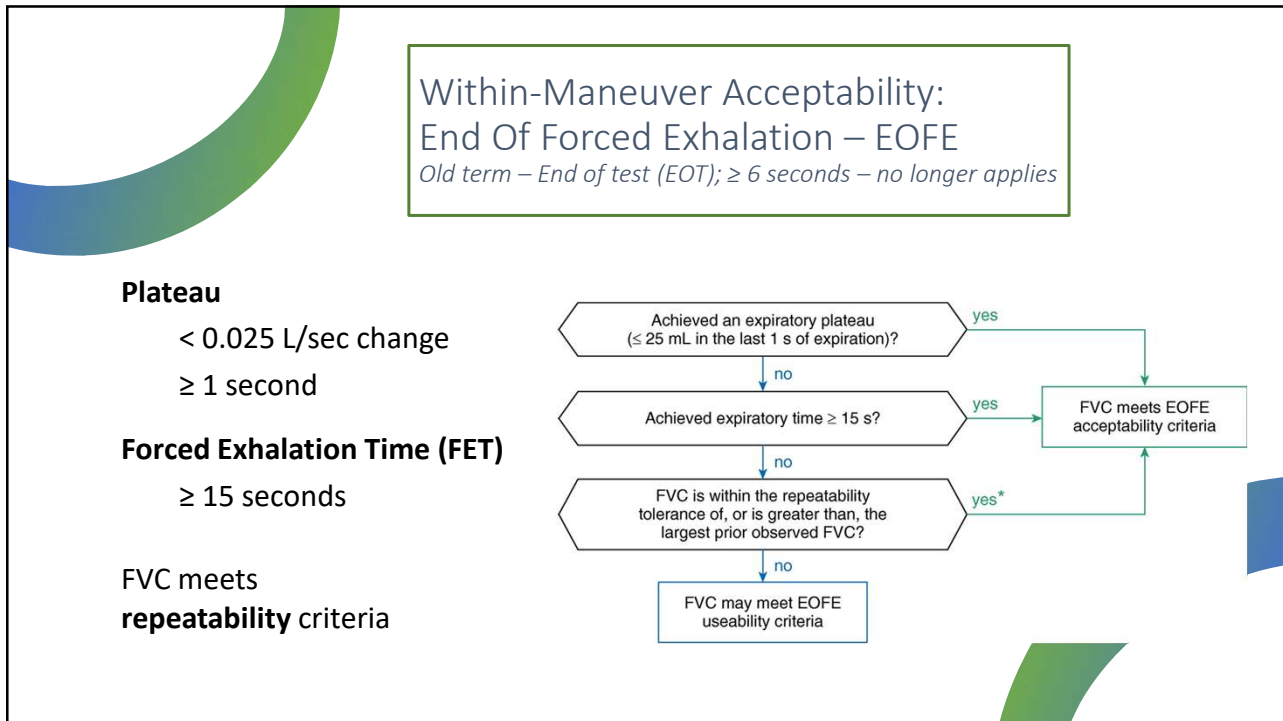
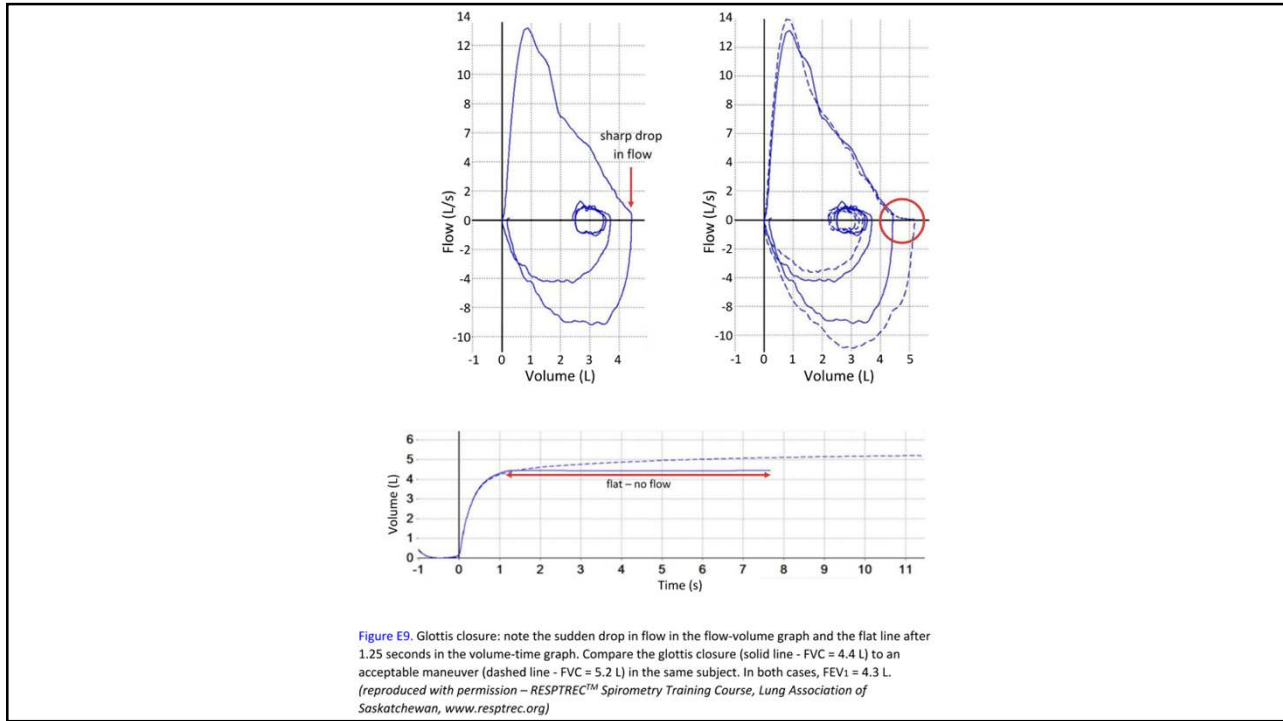


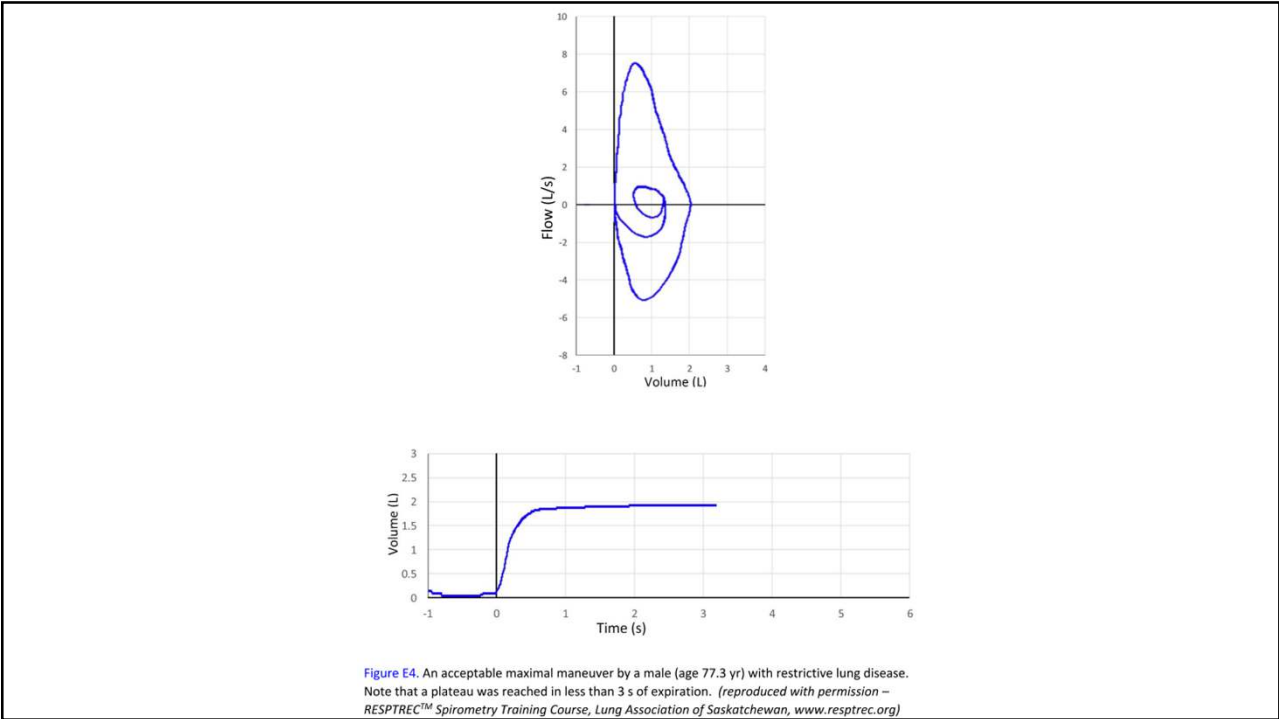
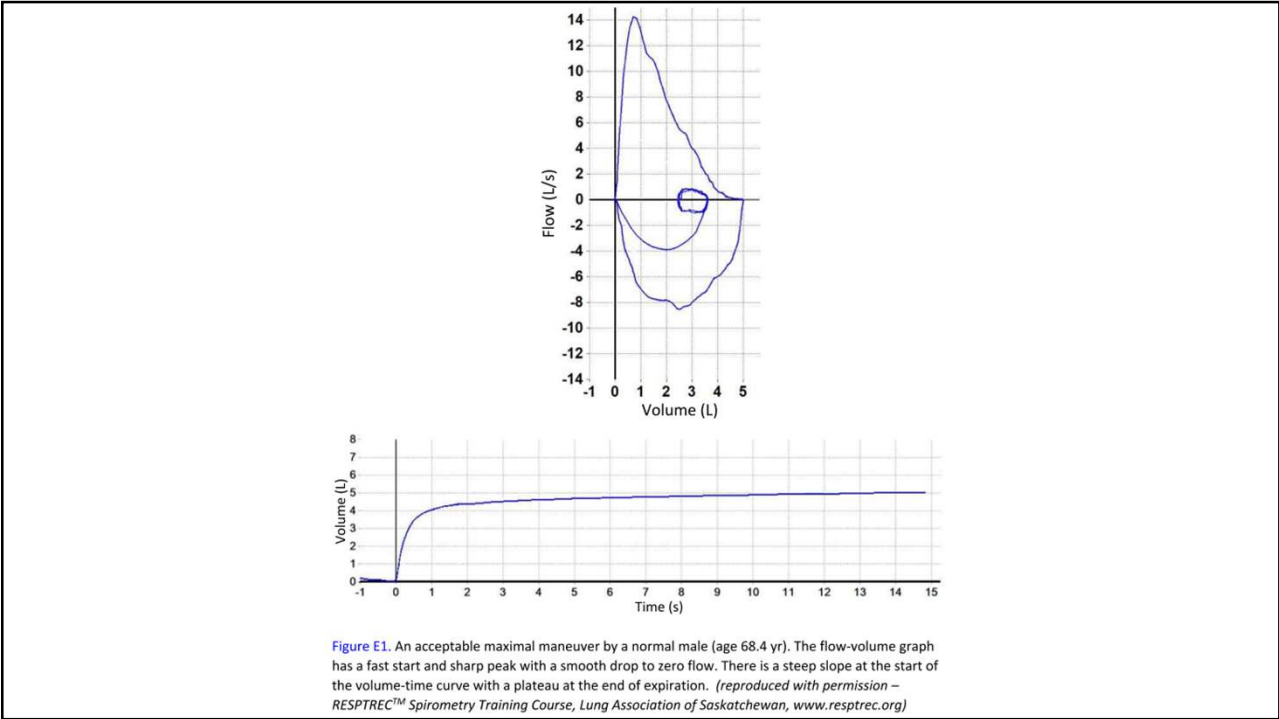
4 Maximums: Within-Maneuver “Acceptability” Max #3) EOFE

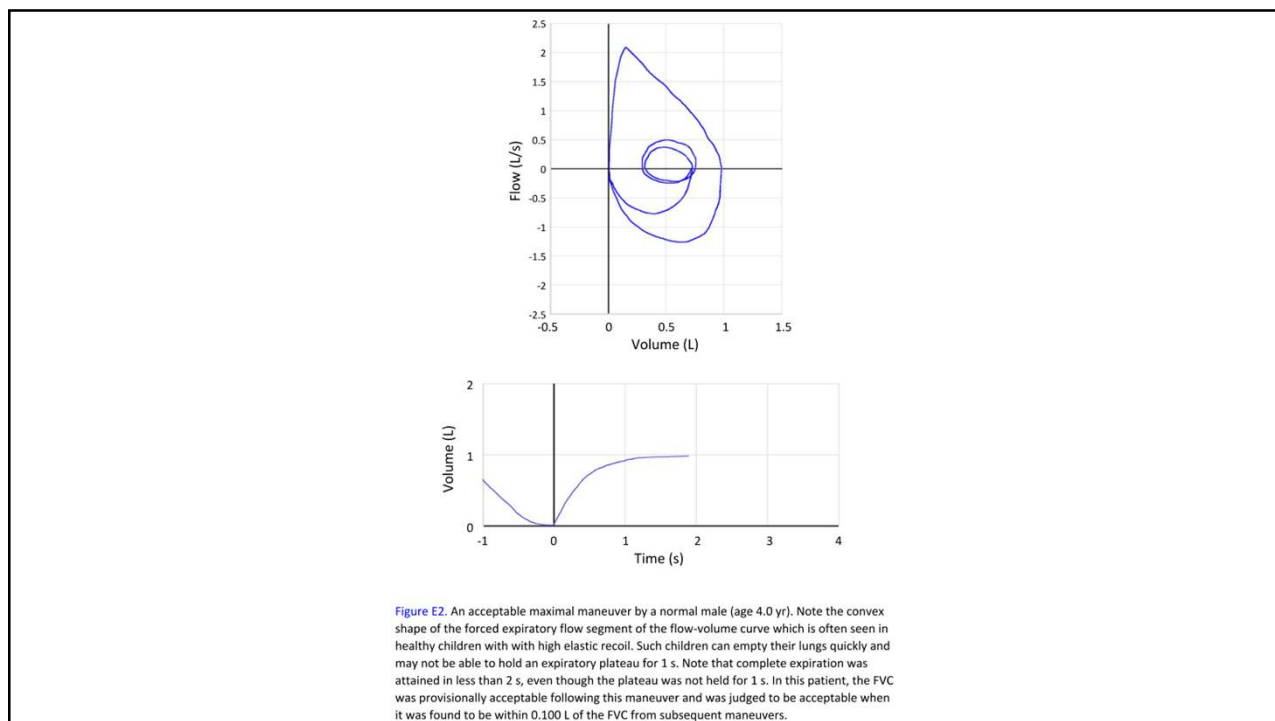
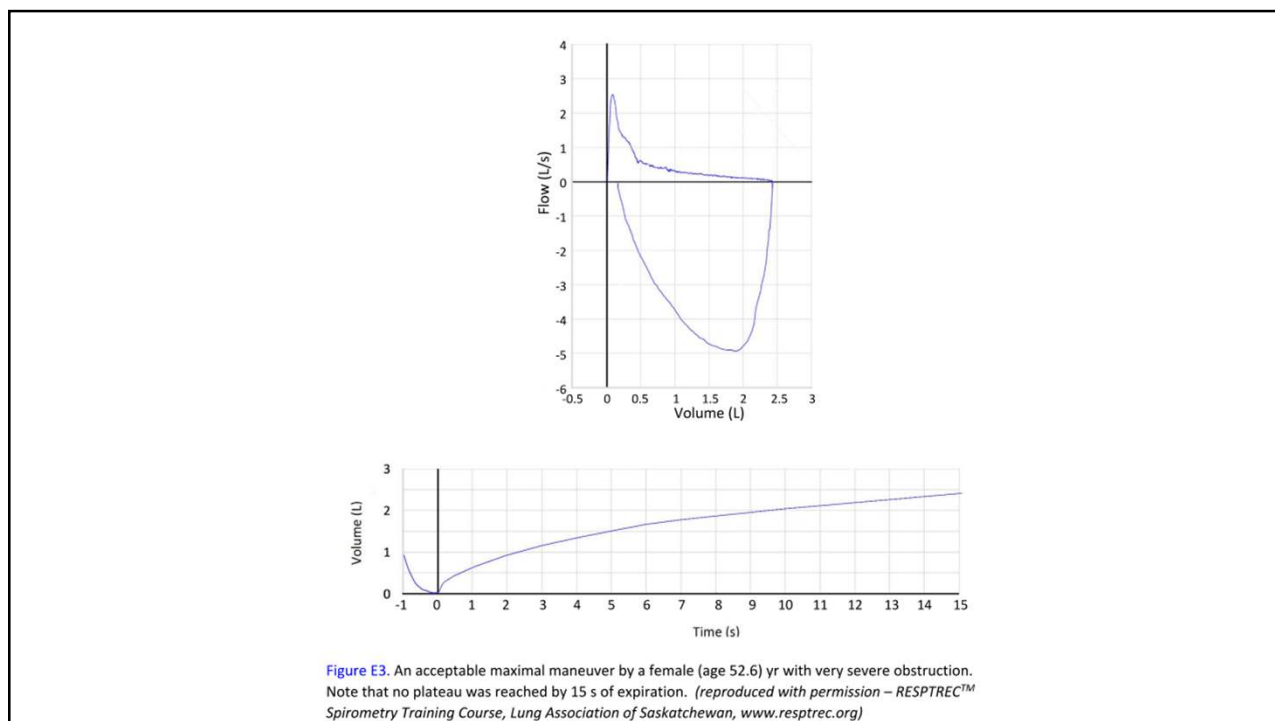
- Continuing from FEV1
- Continuing to
 - EOFE
 - Plateau: ≥ 1 second
 - FET: ≥ 15 seconds
 - Repeatability
 - Maximal expiration level

Within-Maneuver Acceptability: No Glottic closure after first second of expiration

- **Must have no glottic closure after the first second of expiration***
 - No, not required for neither Acceptability nor usability for FEV1
 - Yes, required for Acceptability for FVC
 - No, not required for Usability for FVC
- *For children aged 6 years or younger, must have at least 0.75 seconds of expiration without glottic closure or cough for acceptable or usable measurement of FEV0.75.







Within-Maneuver Acceptability: Obstructed mouthpiece or spirometer

- **Must have no evidence of obstructed mouthpiece or spirometer**
 - Yes, required for Acceptability for both FEV1 & FVC
 - No, not required for Usability for both FEV1 & FVC

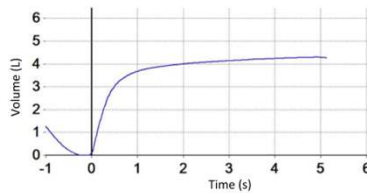
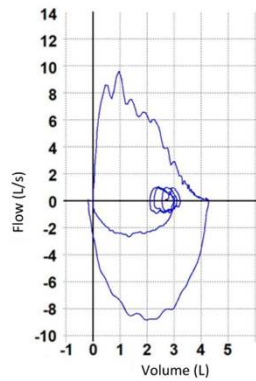


Figure E12. When the tongue obstructs the mouthpiece, there is a reduced flow and often a flutter can be seen in the flow-volume curve. The flow oscillations (sometimes referred to as sawtooth) seen in this flow-volume curve may also be seen in cases of upper or central airway obstruction.
(reproduced with permission – RESPREC™ Spirometry Training Course, Lung Association of Saskatchewan, www.resptrec.org)

Within-Maneuver Acceptability: Leak

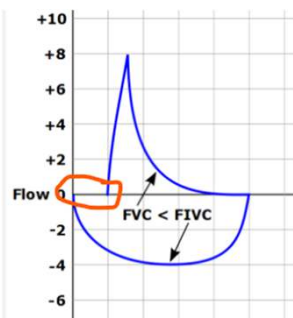
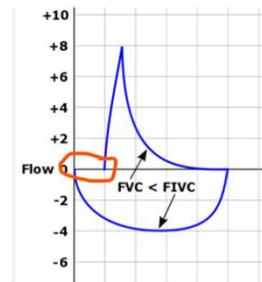
- **Must have no evidence of a leak**
 - Yes, required for Acceptability for both FEV1 & FVC
 - No, not required for Usability for neither FEV1 nor FVC
- flange-type mouthpiece
 - or assistance from the operator

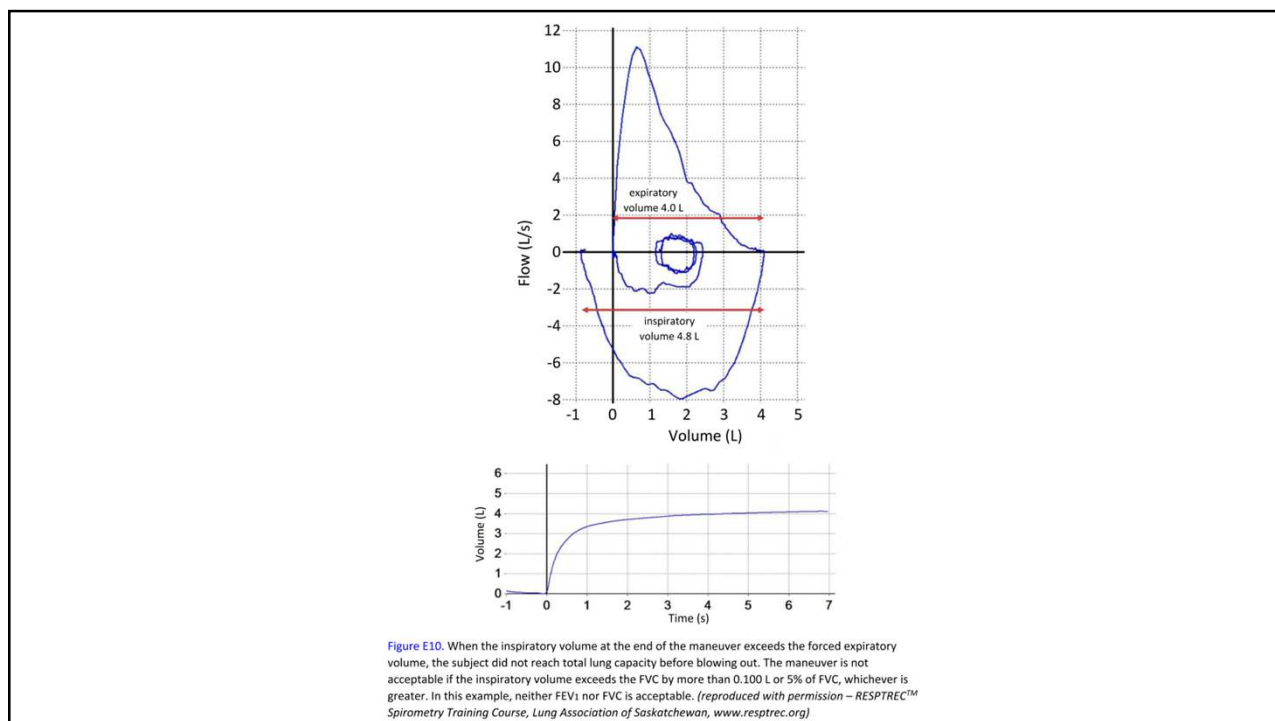
4 Maximums: Within-Maneuver “Acceptability” Max #4) FIVC

- Returning from
 - EOFE
 - FVC
 - Maximum expiration level
- Returning to
 - Maximum inspiration level
 - TLC
- Maximum Volume

Within-Maneuver Acceptability: FIVC

- If the maximal inspiration after EOFE is greater than FVC, then FIVC – FVC must be ≤ 0.100 L or 5% of FVC, whichever is greater‡
 - Yes, required for Acceptability for both FEV1 & FVC
 - No, not required for Usability for neither FEV1 nor FVC
- ‡Although the performance of a maximal forced inspiration is strongly recommended, its absence does not preclude a maneuver from being judged acceptable, unless extrathoracic obstruction is specifically being investigated.





Learning Objectives

At the conclusion of this presentation
the learner shall be able to...

2. explain **4 Maximums** within the forced vital capacity maneuver
 - as part of the spirometry **procedure**
 - as within-maneuver criteria for **acceptability** and **usability**
 - as between-maneuver criteria for **repeatability**

Between-Maneuver Repeatability – Applied to “Acceptable “ FEV₁ & FVC

(Old Term: Reproducibility)

Adults and Children age > 6 y.o.

- The difference between the two largest **FVC** values must be \leq **0.150 L** and
- The difference between the two largest **FEV₁** values must be \leq **0.150 L**

Children \leq 6 y.o.

- The difference between the two largest **FVC** values must be \leq **0.100 L** or 10% of the highest value, whichever is greater, and
- The difference between the two largest **FEV₁** values must be \leq **0.100 L** or 10% of the highest value, whichever is greater

Application of Acceptability and Repeatability Criteria

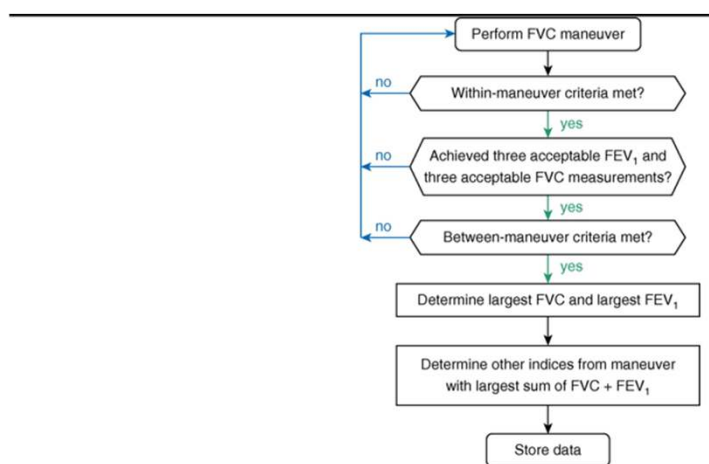


Figure 3. Flowchart outlining application of acceptability and repeatability criteria.

Am J Respir Crit Care Med, 2019

Learning Objectives

At the conclusion of this presentation
the learner shall be able to...

2. explain **4 Maximums** within the forced vital capacity maneuver
 - as part of the spirometry **procedure**
 - as within-maneuver criteria for **acceptability** and **usability**
 - as between-maneuver criteria for **repeatability**
 - as session quality **grading**

Grading System for FEV1 & FVC

- Table 10. Grading System for FEV1 and FVC (Graded Separately)

Grade	Number of Measurements	Repeatability: Age >6 yr		Repeatability: Age ≤6 yr*
		Within 0.150 L	Within 0.100 L*	
A	≥3 acceptable	Within 0.150 L	Within 0.100 L*	
B	2 acceptable	Within 0.150 L	Within 0.100 L*	
C	≥2 acceptable	Within 0.200 L	Within 0.150 L*	
D	≥2 acceptable	Within 0.250 L	Within 0.200 L*	
E	≥2 acceptable	>0.250 L	>0.200 L*	
OR 1 acceptable	N/A	N/A		
U	0 acceptable AND ≥1 usable	N/A	N/A	
F	0 acceptable and 0 usable	N/A	N/A	

• Definition of abbreviation: N/A = not applicable.

- The repeatability grade is determined for the set of prebronchodilator maneuvers and the set of post-bronchodilator maneuvers separately. The repeatability criteria are applied to the differences between the two largest FVC values and the two largest FEV1 values. Grade U indicates that only usable but not acceptable measurements were obtained. Although some maneuvers may be acceptable or usable at grading levels lower than A, the overriding goal of the operator must be to always achieve the best possible testing quality for each patient. Adapted from Reference 114.

- *Or 10% of the highest value, whichever is greater; applies for age 6 years or younger only.

Post Test Comments

Double-click on the row header to insert comment.
Double-click in a cell to edit.

ID	Description
1	Good patient effort.
1.1	Moderate patient effort.
1.2	Fair patient effort.
1.3	Poor patient effort.
2	Patient was able to meet ATS/ERS criteria for usability/repeatability.
2.1	Patient was unable to meet ATS/ERS criteria for usability/repeatability for spirometry; best effort was reported.
2.2	Patient was unable to meet ATS/ERS criteria for usability/repeatability for DLCO; best effort was reported.
2.3	Patient was unable to meet ATS/ERS criteria for usability/repeatability for lung volumes; best effort was reported.
2.4	Patient was unable to meet ATS/ERS IVC > 90% criteria for DLCO; values may be underestimated.
2.5	The patient was unable to perform maneuvers necessary for testing despite coaching and demonstration.
2.7	The patient was unable to perform DLCO or lung volumes due to desaturation off supplemental oxygen.
2.8	The patient was unable to comprehend the instructions necessary for testing coaching and demonstration.
2.9	Data obtained and manually entered from other spirometer. Test performed by
3.0	No current hemoglobin available for DLCO correction.
3.1	DLCO corrected for a hemoglobin of gm/dL dated.
3.3	Inhaler last used:
3.4	Procedural instructions given through an interpreter.
4.0	Post-bronchodilator testing performed after the patient received a treatment with 2.5 mg albuterol via nebulizer.
4.1	Post-bronchodilator testing performed after the patient received a treatment of 0.63 mg levalbuterol via nebulizer.

Learning Objectives

At the conclusion of this presentation the learner shall be able to...

1. describe the basics of **spirometry context**
2. explain **4 Maximums** within the forced vital capacity maneuver
3. explain **troubleshooting and coaching** to correct spirometry errors and meet ATS/ERS criteria

System Warnings: Coach to Correct to ATS/ERS

Warning trigger	Warning message	Instruction to patient
BEV exceeds limit	hesitant start	blast out immediately when completely full
rise time > 150 ms*	slow start	blast out immediately when completely full
no plateau and expiration < 15 s	no plateau	keep going until completely empty
hesitation time > 2 s	hesitation at maximum volume	blast out when completely full
FVC less than max FVC from previous maneuvers	low forced expiratory volume	take the deepest breath possible and keep going until completely empty
FIVC > FVC	incomplete inspiration prior to FVC	fill your lungs completely before blasting out – take the deepest breath possible
FIVC < 90%FVC	low final inspiration	after completely emptying your lungs, remember to breathe in - back to the top
mean inspiratory flow of the breath just prior to forced expiration is less than 2 L/s	slow filling	breathe in faster before blasting out
suspected glottis closure [†]	abrupt stop	if you feel your throat closing, relax, but keep pushing
suspected cough in first second of expiration [‡]	cough in first second of expiration	try having a sip of water before the next blow

System Warning: “Hesitant Start”

- Warning Trigger:
 - BEV exceeds limit
- Instruction to Patient:
 - **“Blast out immediately when completely full”**

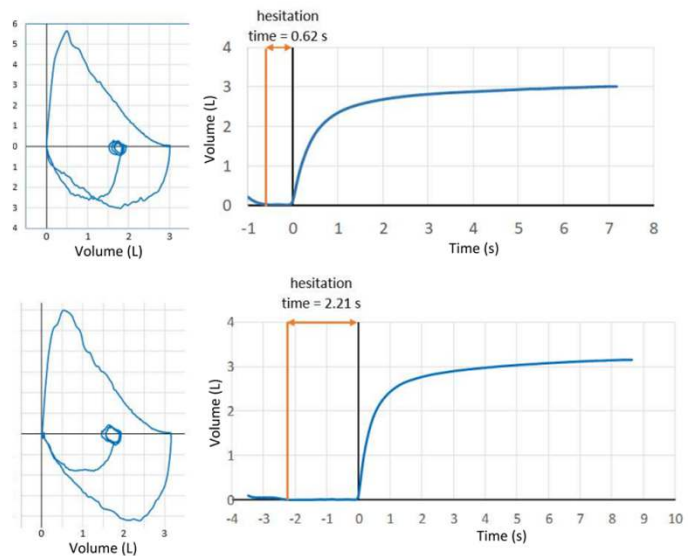


Figure E13. Hesitation time is defined as the time from attaining maximum inspired lung volume to the back-extrapolated time zero which should be less than 2 s. The upper panel shows an acceptable maneuver with a hesitation time of 0.62 s. The lower panel shows a maneuver from the same person but with a hesitation time of 2.21 s which should generate a warning and a message to instruct the patient to blast out immediately when completely full.

System Warning: “Slow Start”

- Warning Trigger:
 - Rise Time > 150 ms
 - Peak shifted to the right
- Instruction to Patient:
 - “Blast out immediately when completely full”

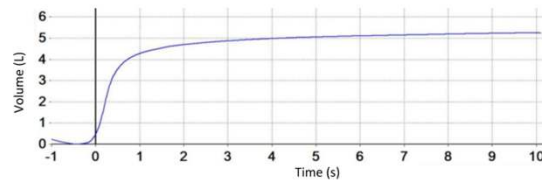
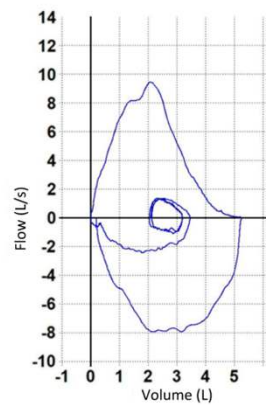
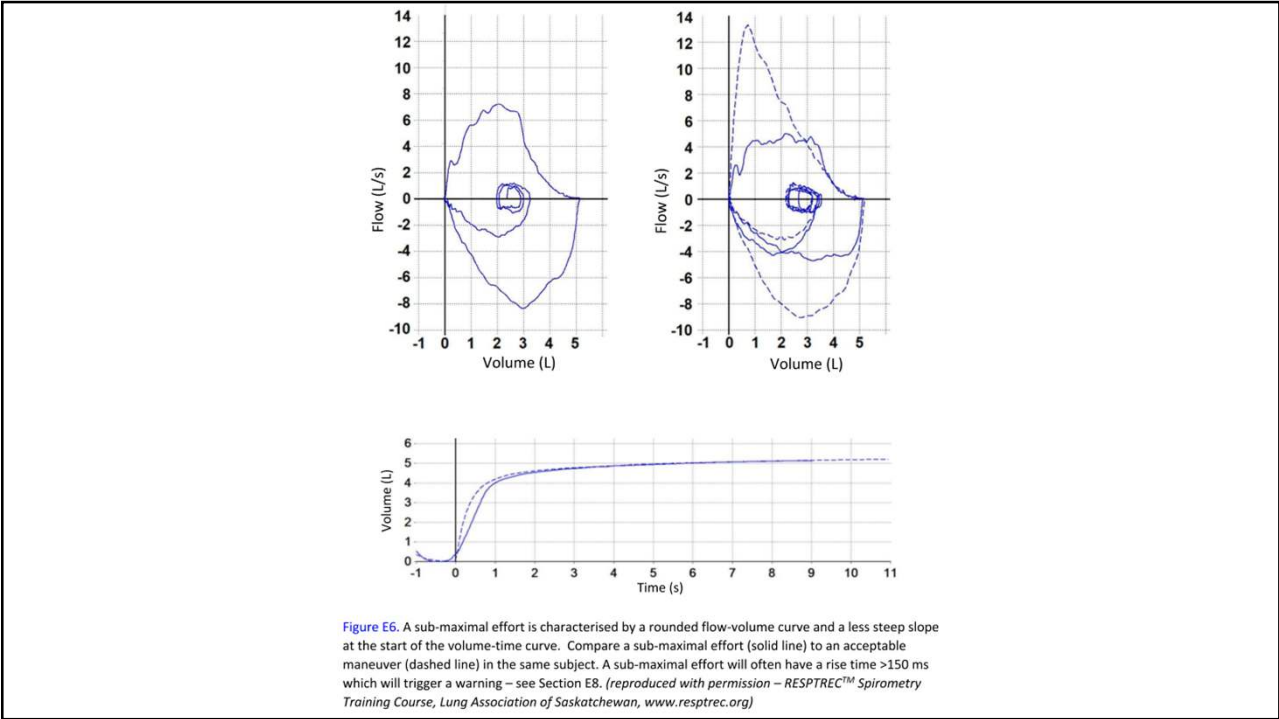


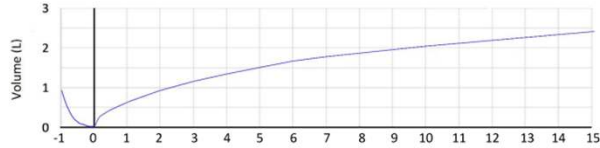
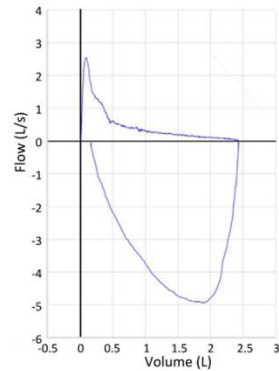
Figure E11. When a subject has a slow start to expiration, the flow-volume curve may still show a peak but it occurs later in expiration. In this example, the rise time from 10% to 90% of peak flow is 320 ms, well in excess the 150 ms recommendation. (reproduced with permission – RESPTREC™ Spirometry Training Course, Lung Association of Saskatchewan, www.resptrec.org)



System Warning: “No Plateau”

- Warning Trigger:
 - No plateau, and
 - expiration < 15 seconds

- Instruction to Patient:
 - “Keep going until completely empty”

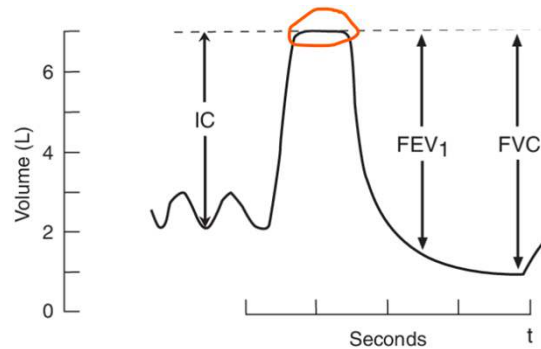


NOTE: This is acceptable because it reached > 15 secs

Figure E3. An acceptable maximal maneuver by a female (age 52.6) yr with very severe obstruction. Note that no plateau was reached by 15 s of expiration. (reproduced with permission – RESPTREC™ Spirometry Training Course, Lung Association of Saskatchewan, www.resptrec.org)

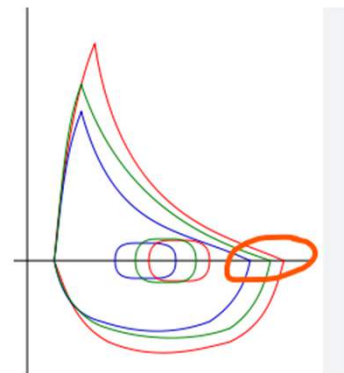
System Warning: “Hesitation at Maximum Vol”

- Warning Trigger:
 - Hesitation time > 2 s
- Instruction to Patient:
 - **“Blast out when completely full”**



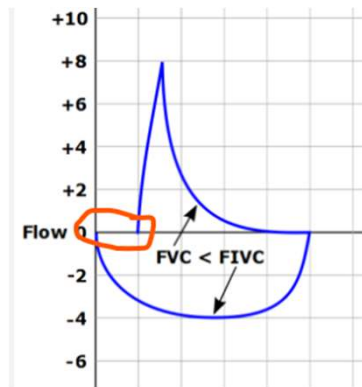
System Warning: “Low Forced Expiratory Volume”

- Warning Trigger:
 - FVC less than max FVC from previous maneuvers
- Instruction to Patient:
 - **“Take the deepest breath possible and keep going until completely empty”**



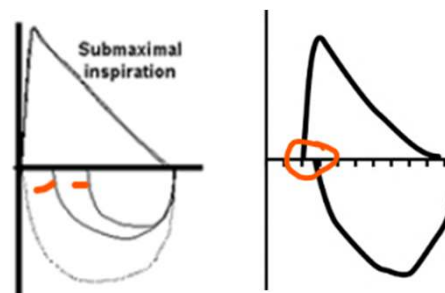
System Warning: “Incomplete Inspiration Prior to FVC”

- Warning Trigger:
 - $FIVC > FVC$
- Instruction to Patient:
 - **“Fill your lungs completely before blasting out – take the deepest breath possible”**



System Warning: “Low Final Inspiration”

- Warning Trigger:
 - $FIVC < 90\% FVC$
- Instruction to Patient:
 - **“After completely emptying your lungs, remember to breathe in – back to the top”**

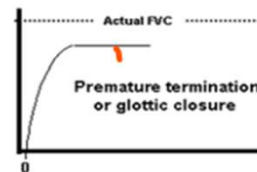


System Warning: “Slow Filling”

- Warning Trigger:
 - Mean inspiratory flow of the breath just prior to forced expiration is less than 2 L/s
- Instruction to Patient:
 - **“Breathe in faster before blasting out”**

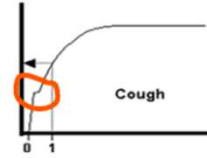
System Warning: “Abrupt Stop”

- Warning Trigger:
 - Suspected glottis closure
- Instruction to Patient:
 - **“If you feel your throat closing, relax, but keep pushing”**
 - **“Maintain slight chin elevation”**



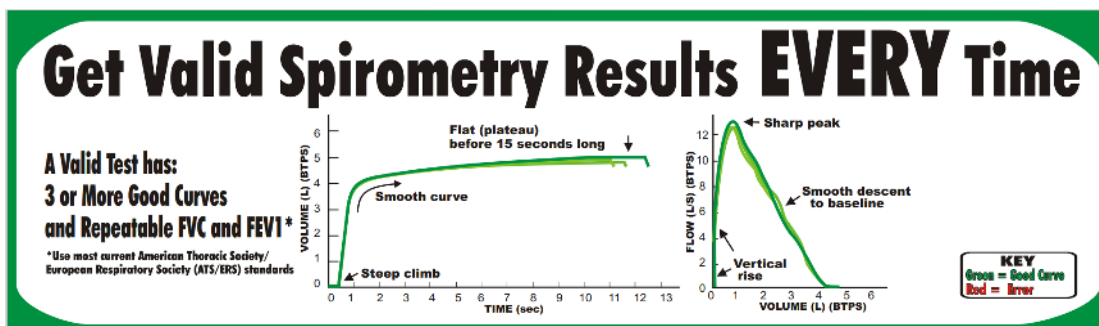
System Warning: “Cough in First Second of Expiration”

- Warning Trigger:
 - Suspected cough in first second of expiration
- Instruction to Patient:
 - “Try having a sip of water before the next blow”



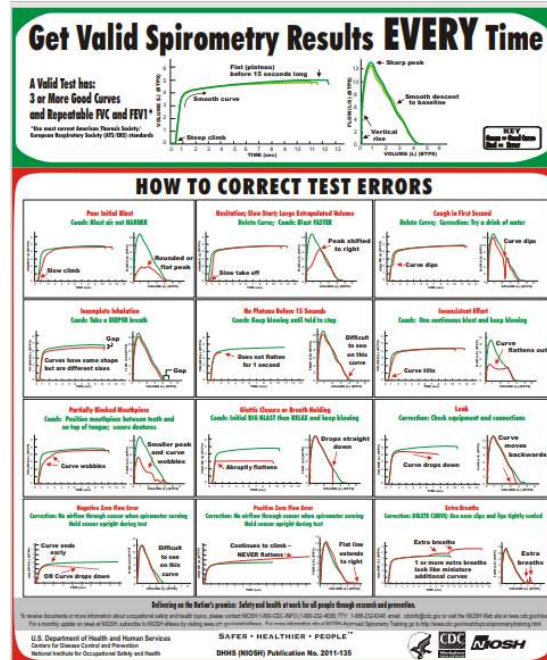
Get Valid Spirometry Results EVERY Time

CDC - NIOSH: [DHHS \(NIOSH\) Publication No. 2011-135](#)



Get Valid Spirometry Results EVERY Time

CDC - NIOSH: [DHHS \(NIOSH\) Publication No. 2011-135](#)



Poor Performance?

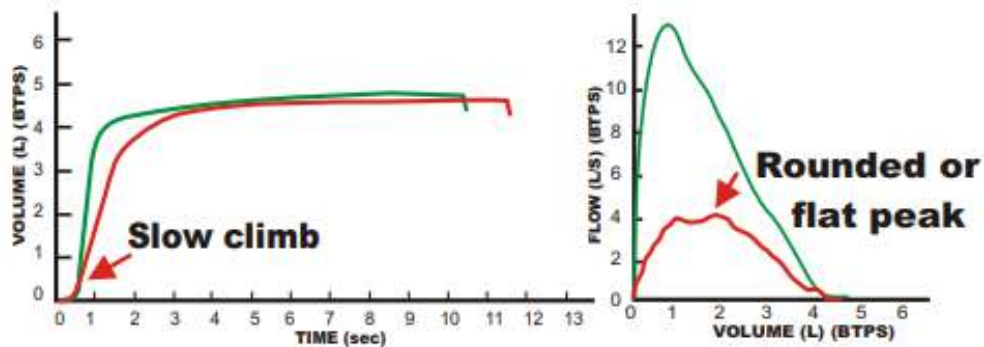
ASK the patient....

- Do make sure that the poor performance is not:
 - due to pain
 - due to stress
 - incontinence
 - not understanding

Get Valid Spirometry Results EVERY Time

Poor Initial Blast

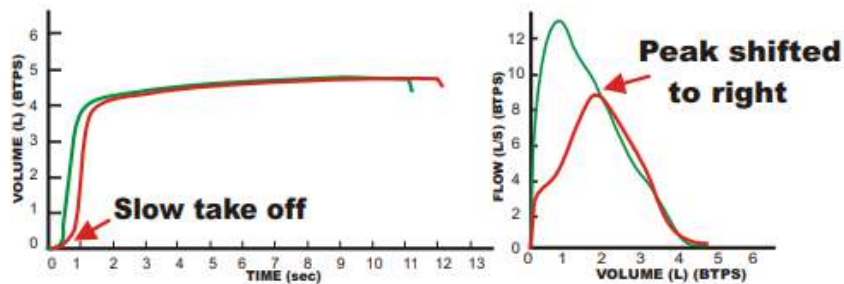
Coach: Blast air out HARDER



Get Valid Spirometry Results EVERY Time

Hesitation; Slow Start; Large Extrapolated Volume

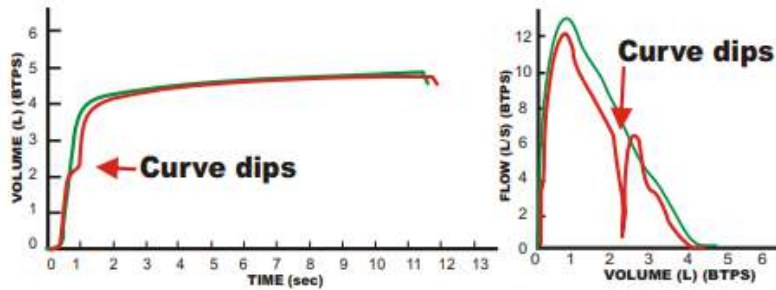
Delete Curve; Coach: Blast FASTER



Get Valid Spirometry Results EVERY Time

Cough in First Second

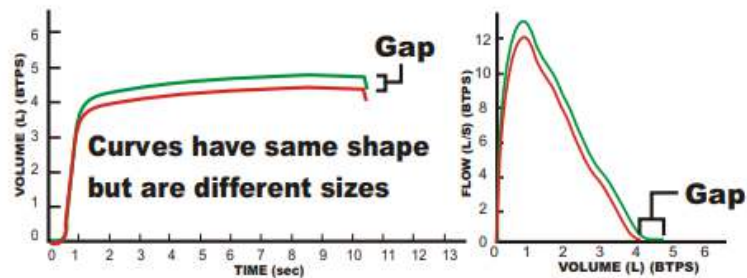
Delete Curve; Correction: Try a drink of water



Get Valid Spirometry Results EVERY Time

Incomplete Inhalation

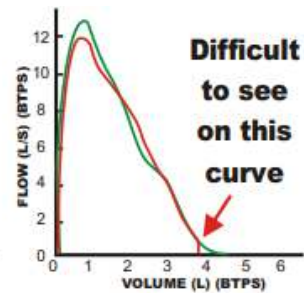
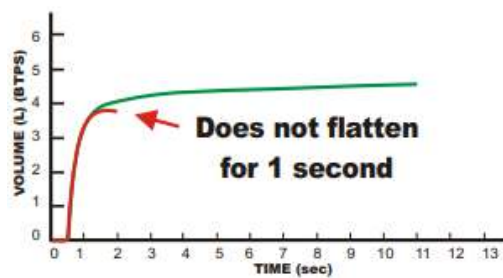
Coach: Take a DEEPER breath



Get Valid Spirometry Results EVERY Time

No Plateau Before 15 Seconds

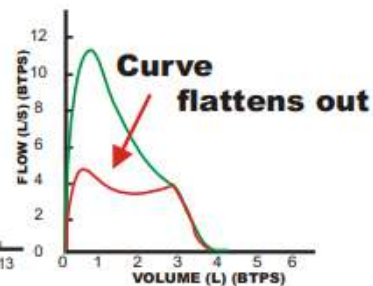
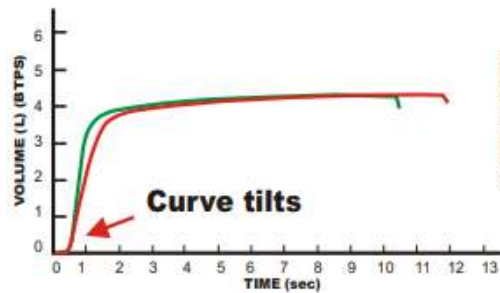
Coach: Keep blowing until told to stop



Get Valid Spirometry Results EVERY Time

Inconsistent Effort

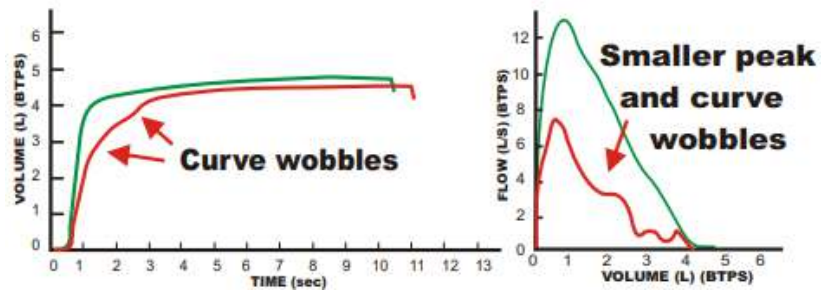
Coach: One continuous blast and keep blowing



Get Valid Spirometry Results EVERY Time

Partially Blocked Mouthpiece

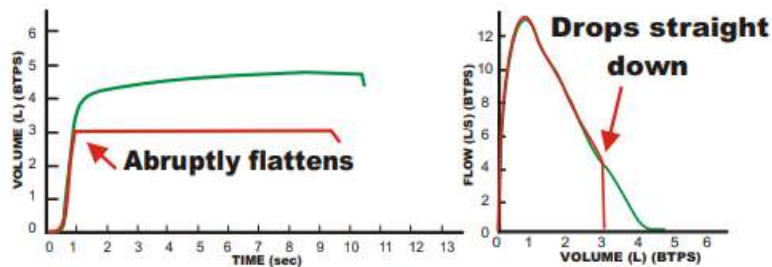
Coach: Position mouthpiece between teeth and on top of tongue; secure dentures



Get Valid Spirometry Results EVERY Time

Glottis Closure or Breath Holding

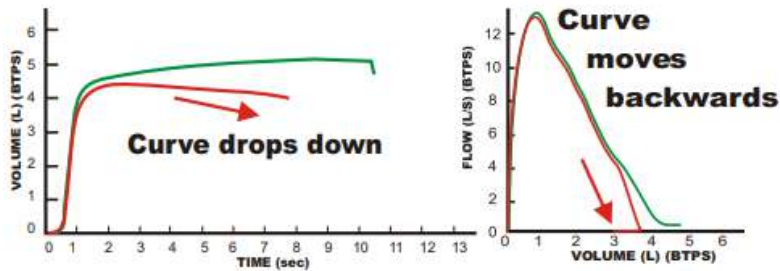
Coach: Initial BIG BLAST then RELAX and keep blowing



Get Valid Spirometry Results EVERY Time

Leak

Correction: Check equipment and connections

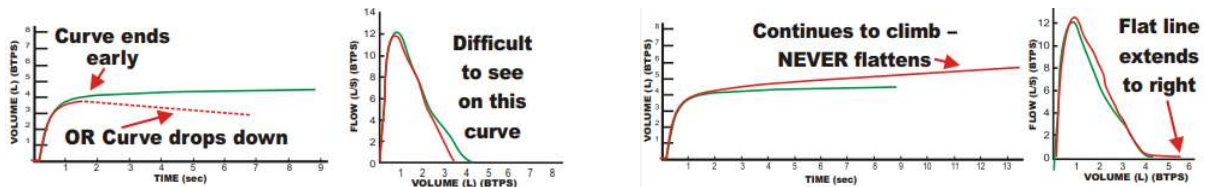


Get Valid Spirometry Results EVERY Time

Negative Zero Flow Error

Positive Zero Flow Error

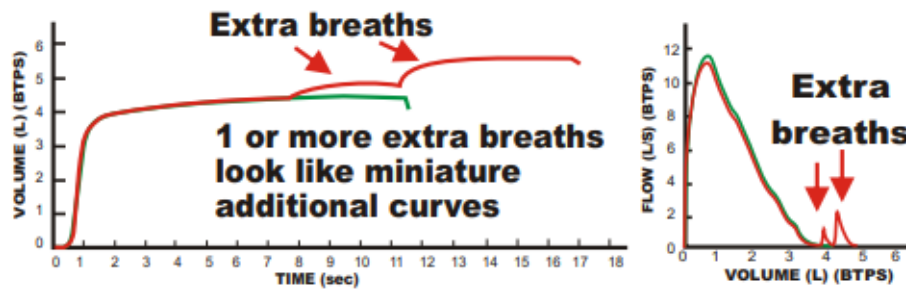
**Correction: No airflow through sensor when spirometer zeroing
Hold sensor upright during test**



Get Valid Spirometry Results EVERY Time

Extra Breaths

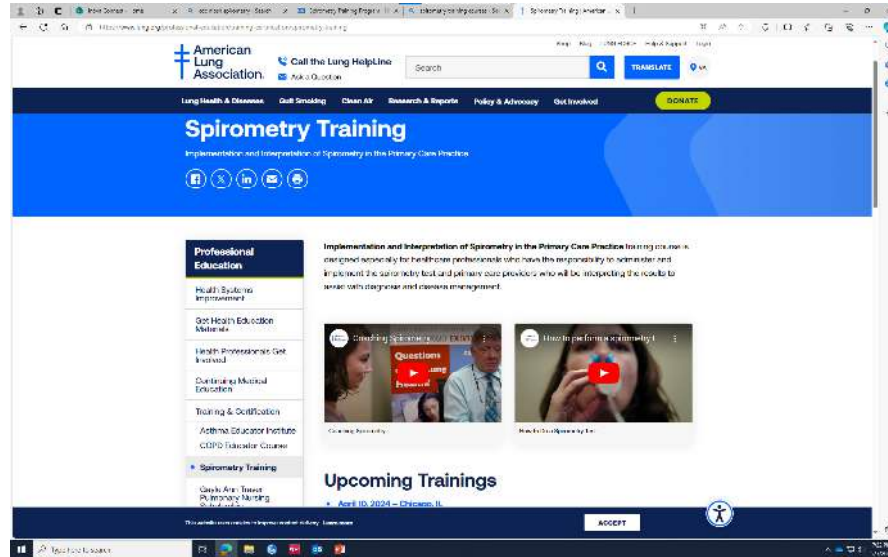
Correction: **DELETE CURVE**; Use nose clips and lips tightly sealed



Spirometry Resources

- [spirXpert - ERS](#)

American Lung Association Spirometry



A Little Deeper: [AARC Spirometry Course](#)

Pulmonary Function Technology- Spirometry

My Programs My Course Certificates My Transcript

About This Course
 This spirometry course will discuss obstructive and restrictive disease processes, basic measurements, reference values, repeatability and acceptability, and how to perform a quality procedure. Also discussed is calibration of the spirometer and patterns of abnormal results.

Participants should read the ATS/ERS Task Force Standardisation of Lung Function Testing: Standardization of Spirometry guideline, the GOLD Spirometry Guide, and the GOLD Spirometry Quick Guide (included for convenience).

Successful completion of this course includes earning a score of 70% or higher on the course post-test.

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CDC NIOSH Spirometry Training Program

The screenshot shows the CDC NIOSH Spirometry Training Program website. At the top, there is a search bar and the NIOSH logo. The main heading is "Spirometry Training Program". Below this, there is a section titled "What is a NIOSH-approved spirometry training course?". The text explains that the course must be approved by NIOSH and meet specific criteria. It also mentions that in addition to the Common Dust Standard (29 CFR 1910.1041), other regulations may require NIOSH-approved spirometry training. A "Current Guidance for Spirometry Testing" section lists two key resources: the American College of Occupational and Environmental Medicine (ACOPM) guidance and the CDC's guidance for healthcare personnel during the COVID-19 pandemic.

Thank You

- Any Questions?
- Vicki.Rosette@gmail.com